

User Guide

ASP Global Invest

“Mobile Version”



Update Jan 2023

“Global Invest” Offshore Trading Platform

Online

Direct access Trading Platform via



PC Mobile and Tablet

Through “Global Invest” Platform



U.S.



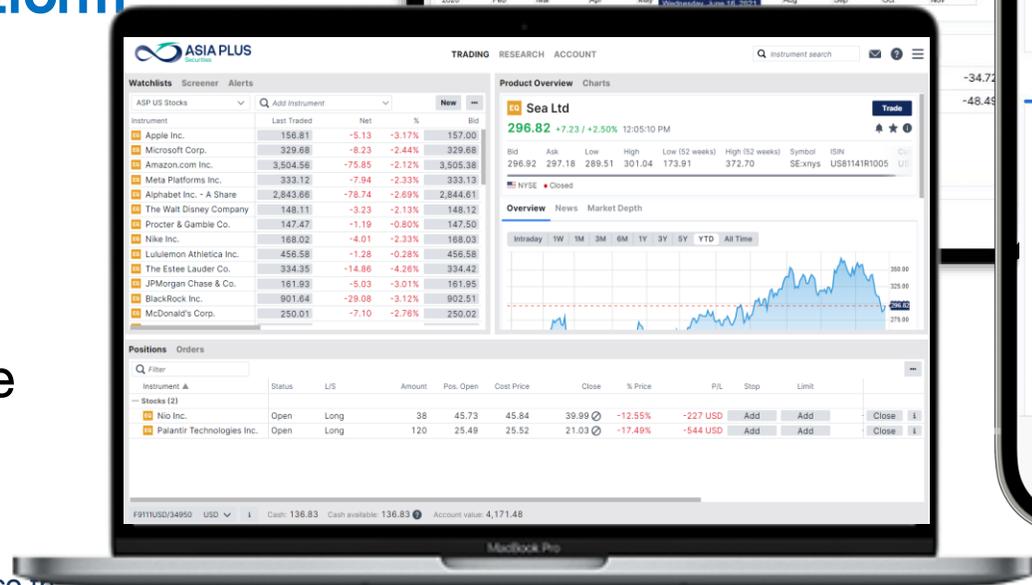
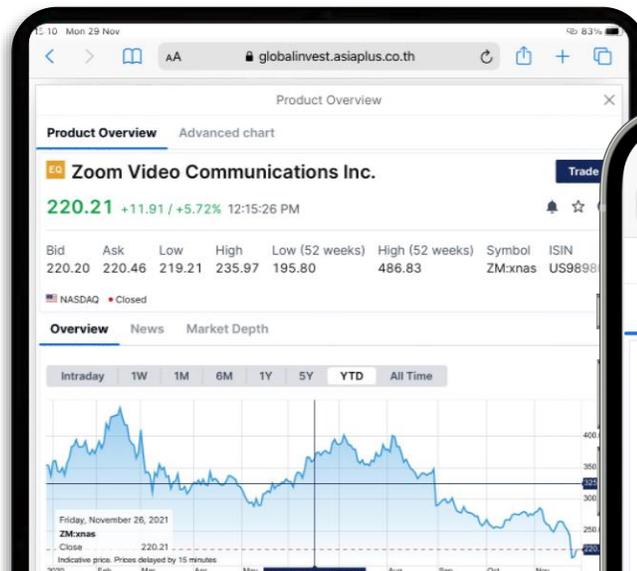
Hong Kong



Europe



China A-Share



Eligible Stock Exchanges under Global Invest Platform



U.S.



Europe

Applicable to 10 countries, including Germany, Spain, Italy, France, Netherlands, United Kingdom, Sweden, Denmark, Norway and Ireland



China

Shanghai & Shenzhen stock exchange

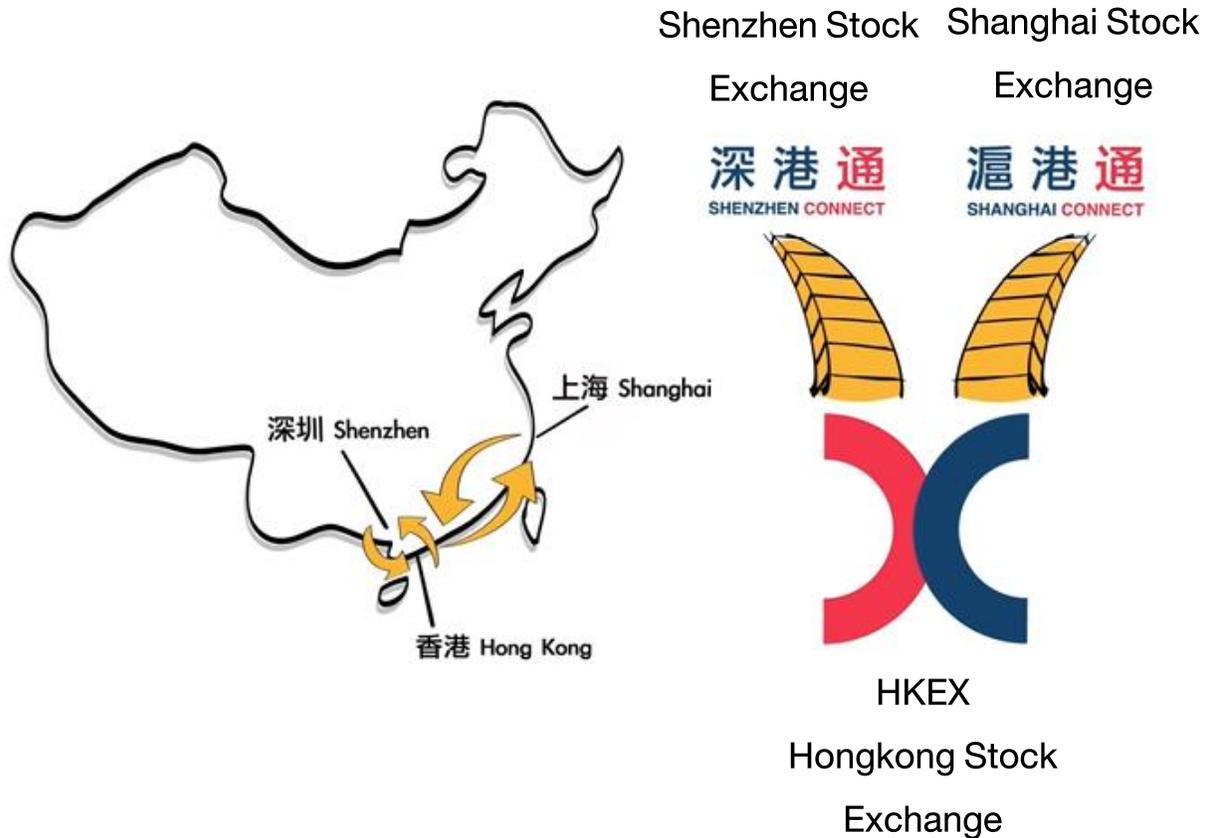


Hong Kong

Eligible European Stock Market

	Country	Exchange	Exchange Code
	U.K.	London Stock Exchange	xlon
	France	Euronext Growth Paris	xpar
	Germany	Deutsche Börse (Indices & ETFs)	xetr
	Netherlands	Euronext Amsterdam	xams
	Spain	BME Spanish Exchanges	xmce
	Italy	AIM Borsa Italiana/Milan Stock Exchange	xmil
	Denmark	NASDAQ OMX Copenhagen	xcse
	Sweden	NASDAQ OMX Stockholm	xome
	Norway	Oslo Børs/Oslo Stock Exchange	xosl
	Ireland	Euronext Dublin	xdub

Eligible Chinese Stock Market



Chinese Stock Exchange available for trading

1. Shanghai Stock Exchange (SSE)
2. Shenzhen Stock Exchange (SZSE)

Through **Stock Connect**

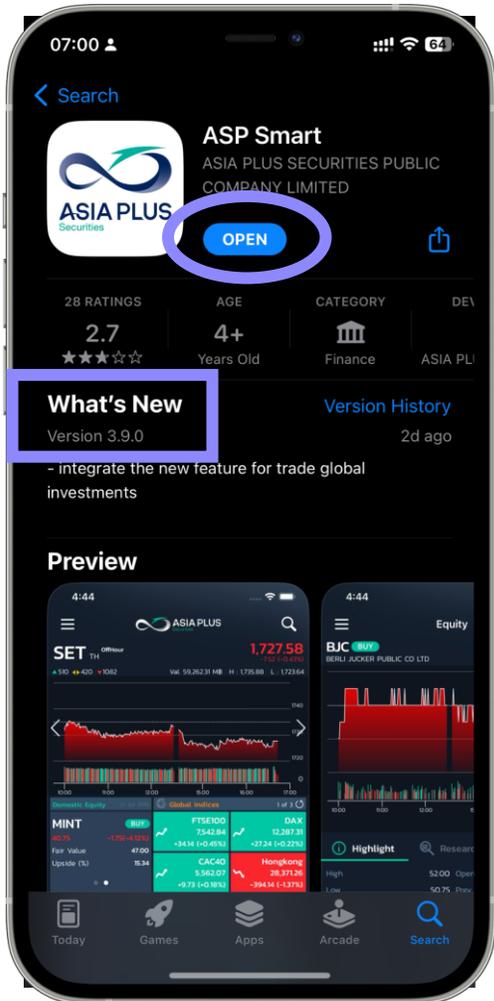
What is Stock Connect?

Cross-boundary investment channel that connects Shenzhen Stock Exchange, Shanghai Stock Exchange and Hongkong Stock Exchange. The scheme allows investors of their respective market to trade in stocks of others' market.

How many A-Share available for trading?

Approximately 700 stocks

“Global Invest” Trading Platform



1. For iOS

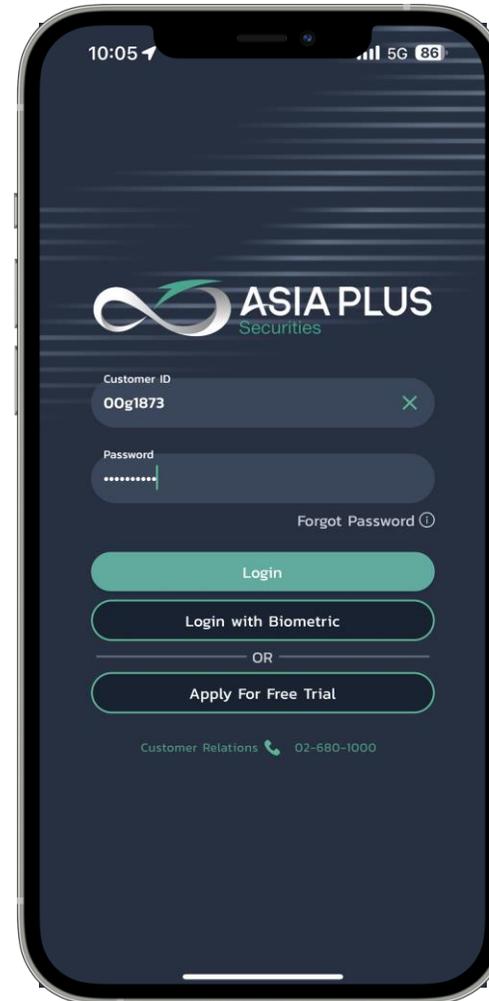
Download “ASP Smart” on App Store

Must be latest version 3.9.1

สำหรับ Android

Download “ASP Smart” on Play Store

Must be latest version 3.9.3



2. Username & Password

Username: Your Global Investment Account (7 Digits) such as 00A1234

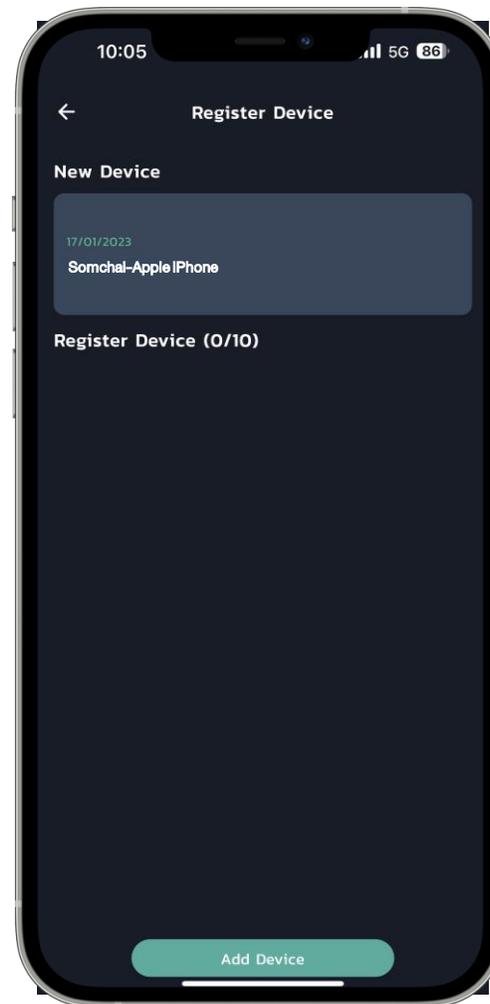
Password: Your temporary password as attached.

Re-create your password via www.asiaplus.co.th and Login again **Password**”

“Global Invest” Trading Platform

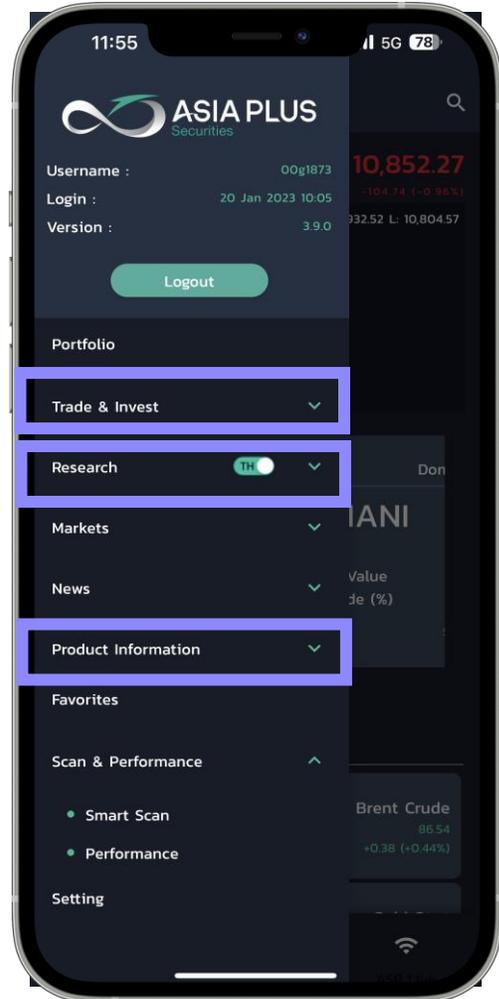


3. Type **OTP** which you received via SMS



4. Register your device

“Global Invest” Trading Platform



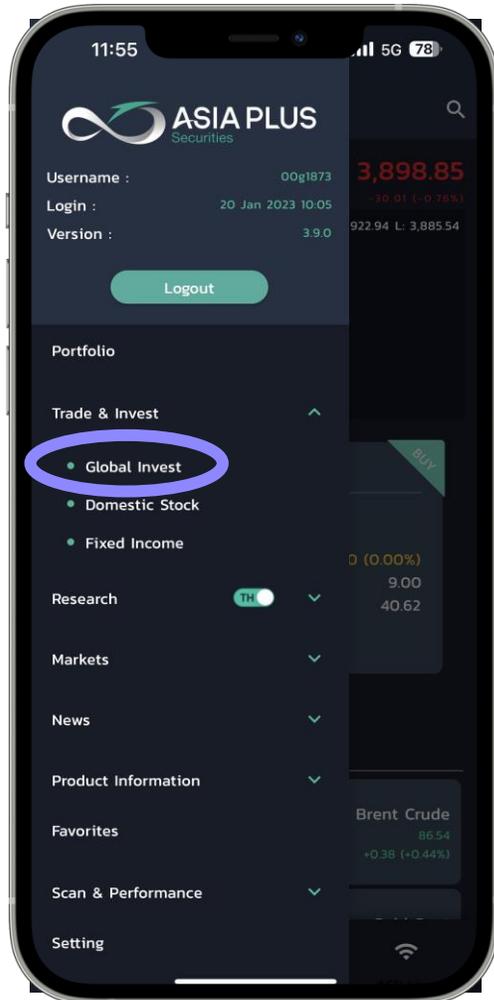
5.Related menu related Global Investment

Trade & Invest: Access to “Global Invest Trading Platform”

Research: Access to Global Strategy Paper

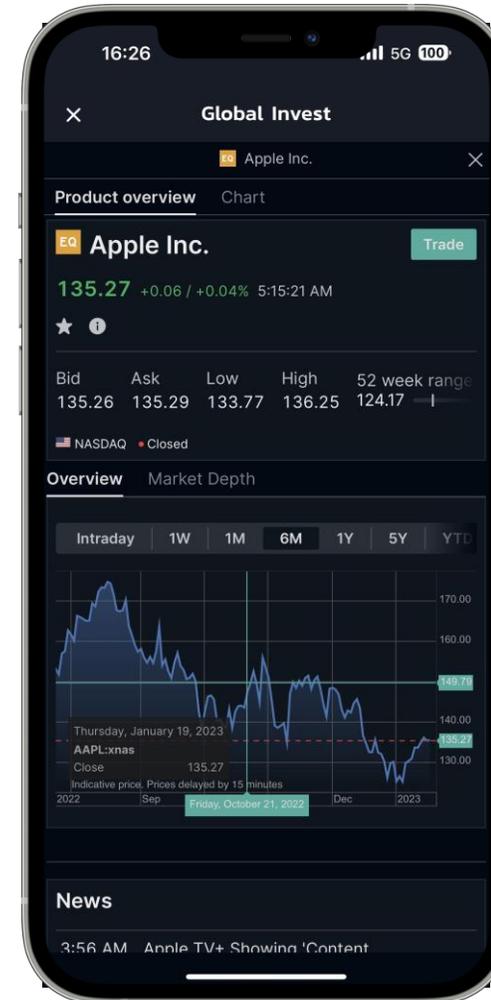
Product Information: Access to Global Investment information

“Global Invest” Trading Platform

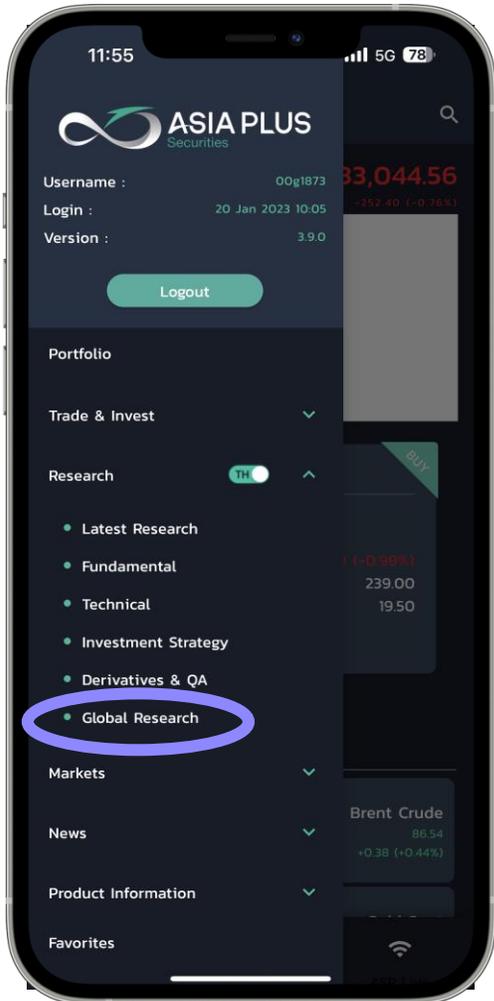


6. Access to Global Invest Trading Platform

via “Global Invest” Menu



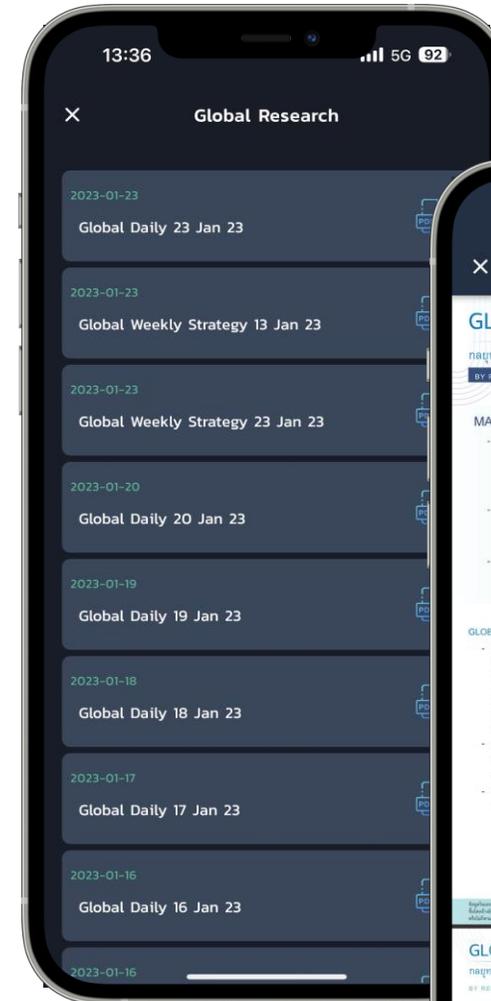
“Global Invest” Trading Platform



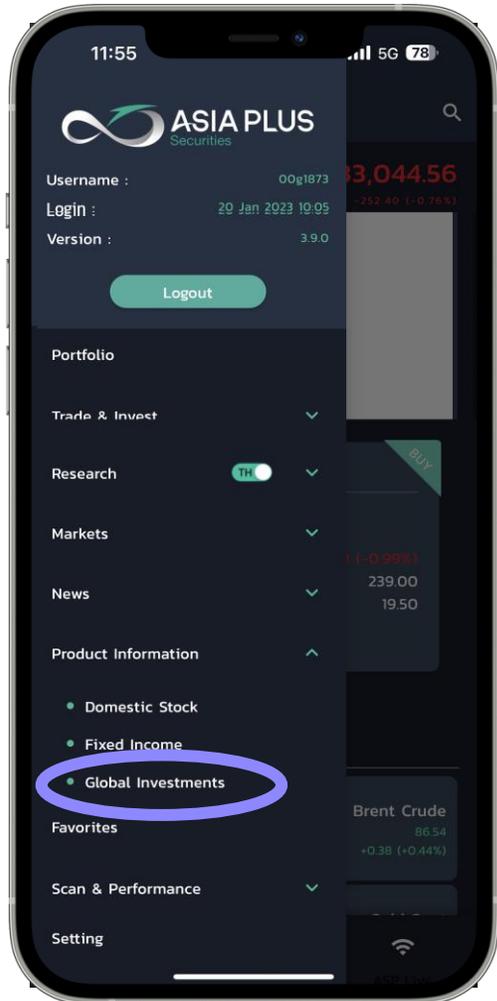
7. Access to Global Strategy Paper

Via “Global Research”

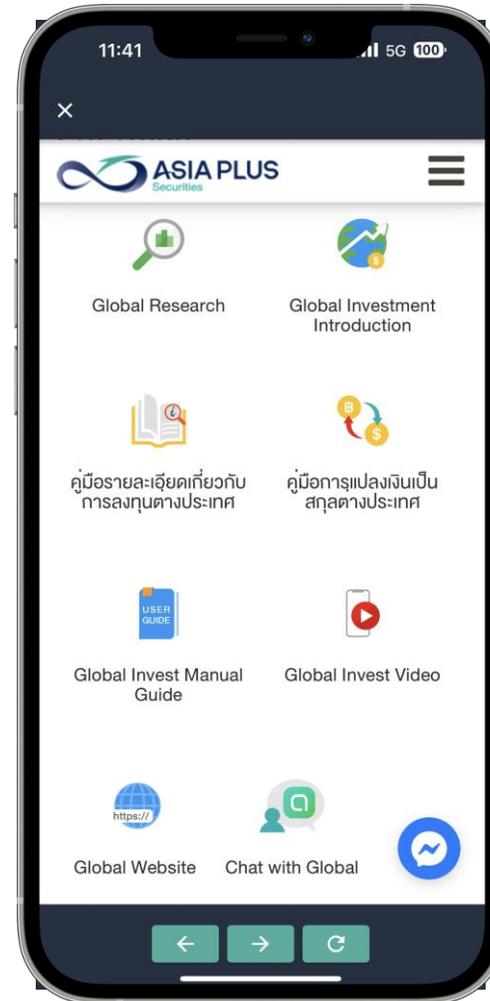
By daily and weekly



“Global Invest” Trading Platform



8. Access to Global Investment information via “Global Investments”



- Stocks & ETFs related insights
- User guide and Video related to global investment platform
- Global Invest Manual guide
- Why..investing offshore
- Chat with Global Investment Specialist via Line Openchat

Create Watchlists

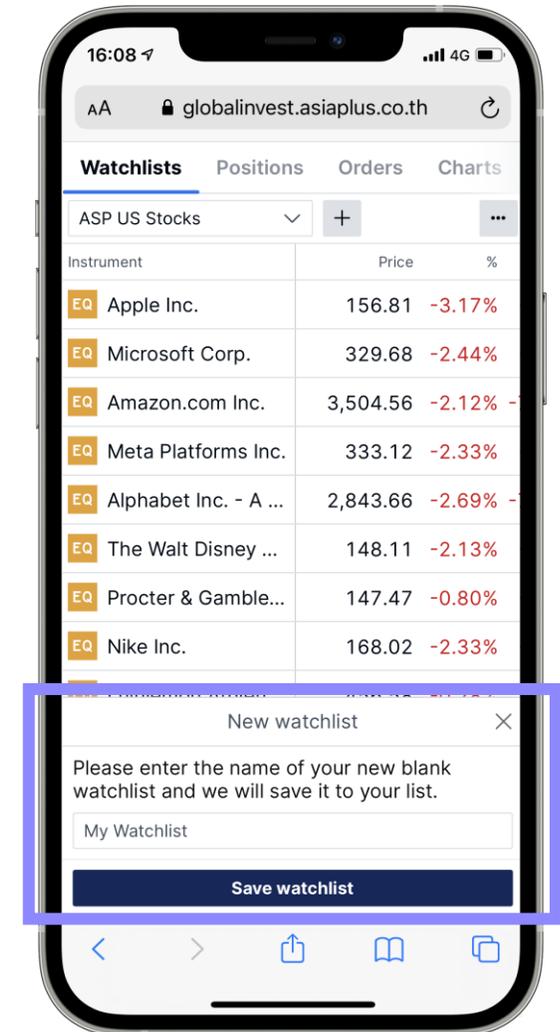
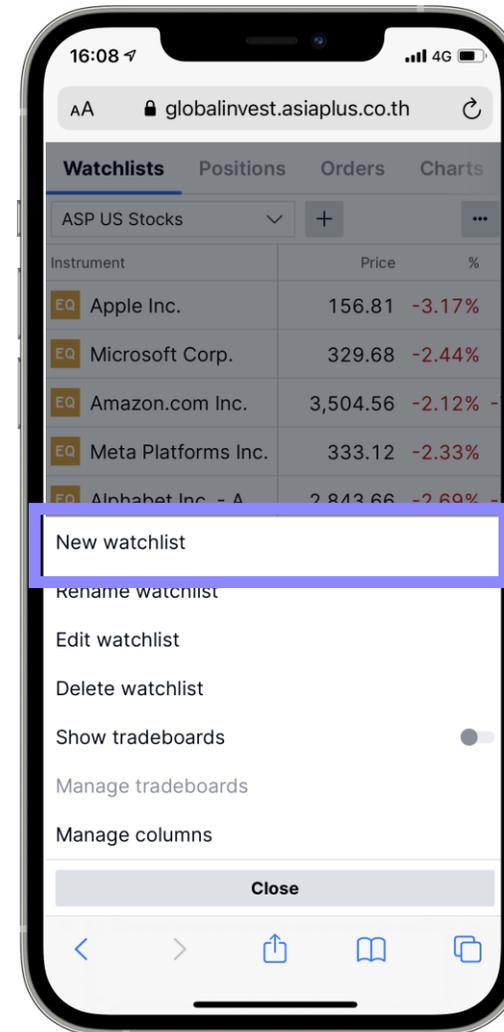
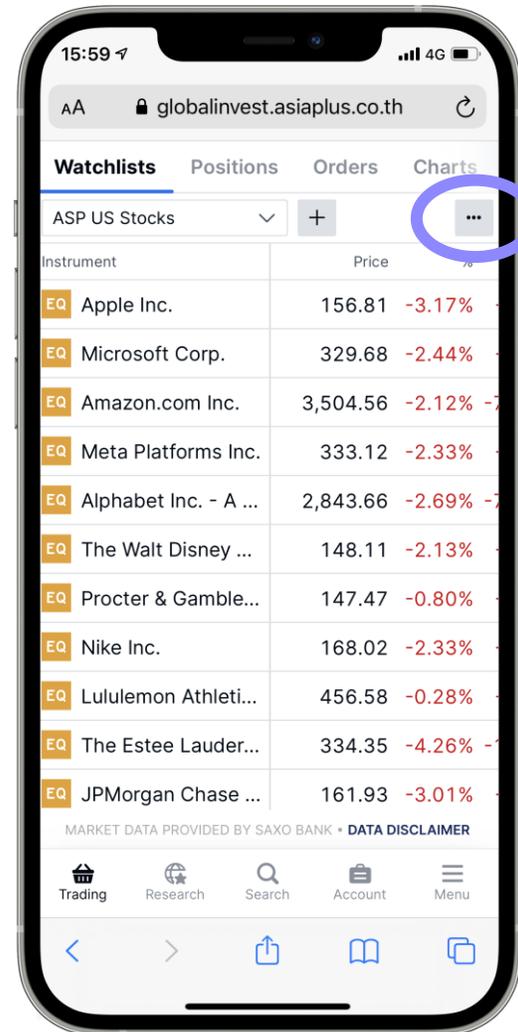
Main interface “Trading”

1. Select Watchlist

2. Select 

3. Choose “New watchlist”

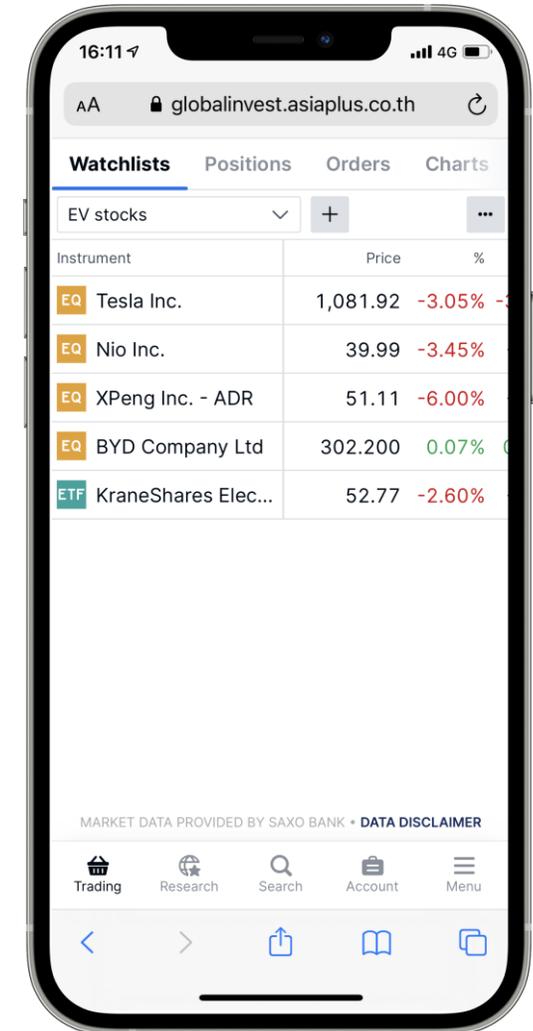
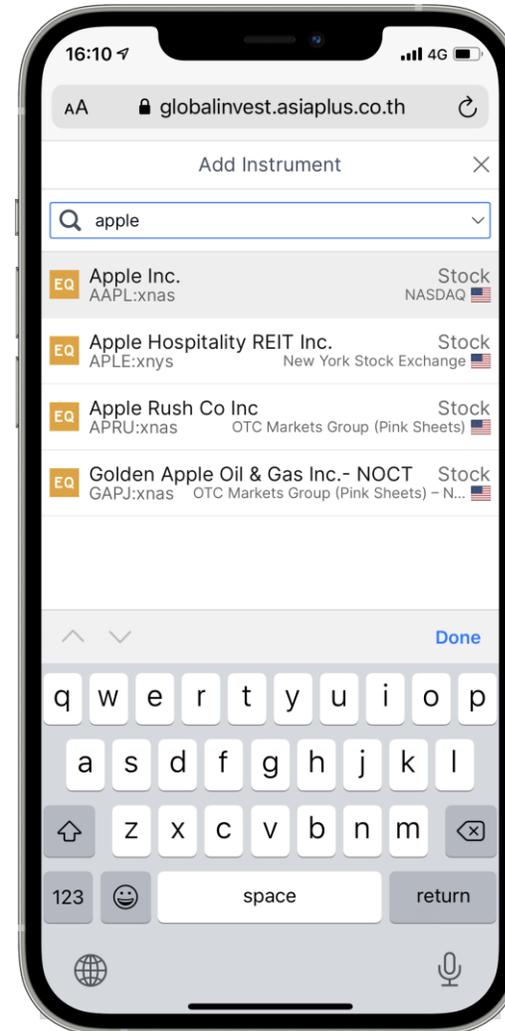
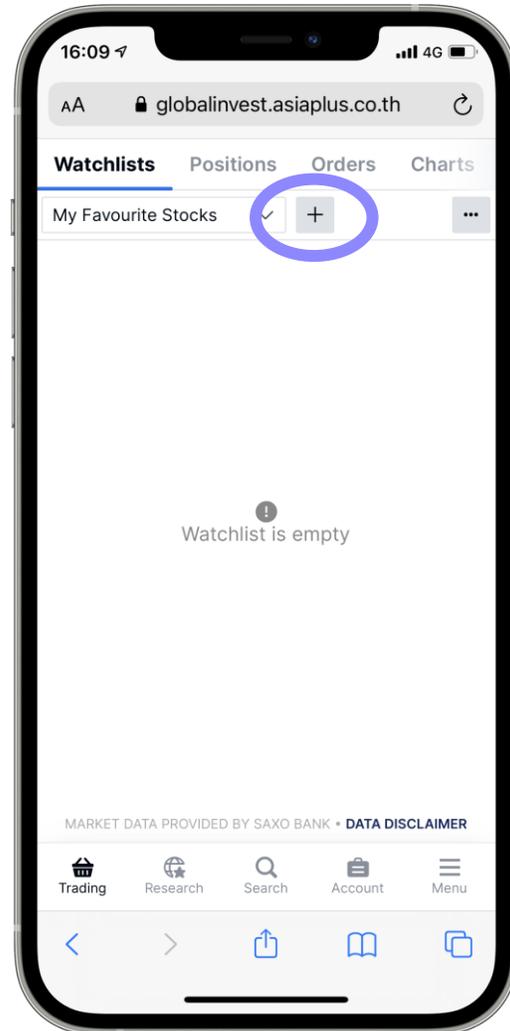
4. Name the Watchlist then
Save the Watchlist



Create Watchlists

5. Select 

6. Select **Add Instrument** to add unlimited number of selected stock



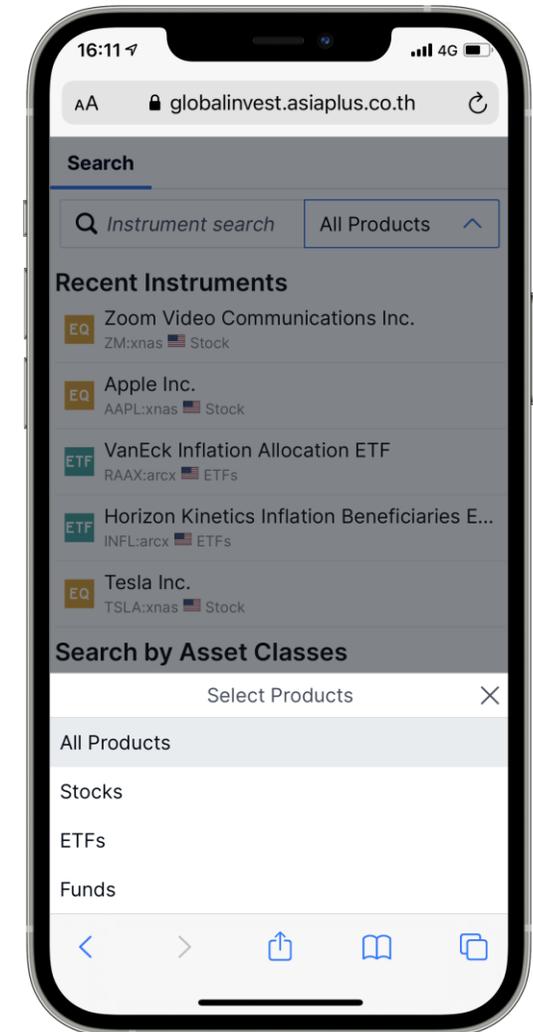
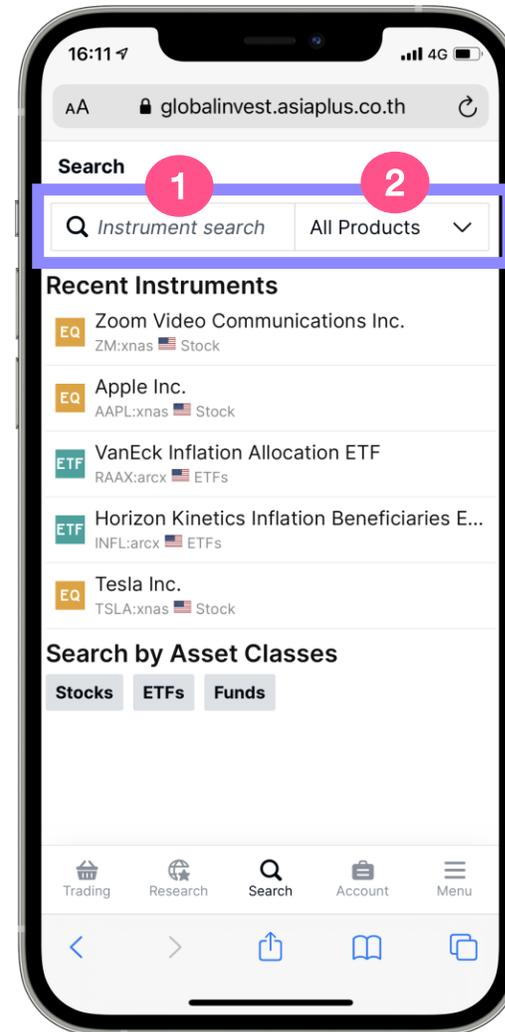
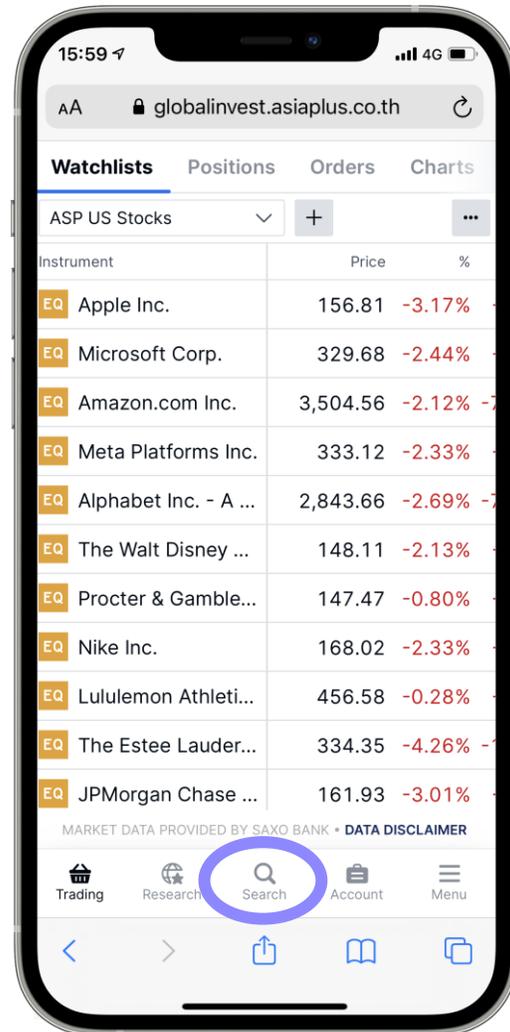
Start Trading

1. Select Search

2. Enter selected stock in
Instrument Search **1**

Either in “Full company
name” or “Ticker”

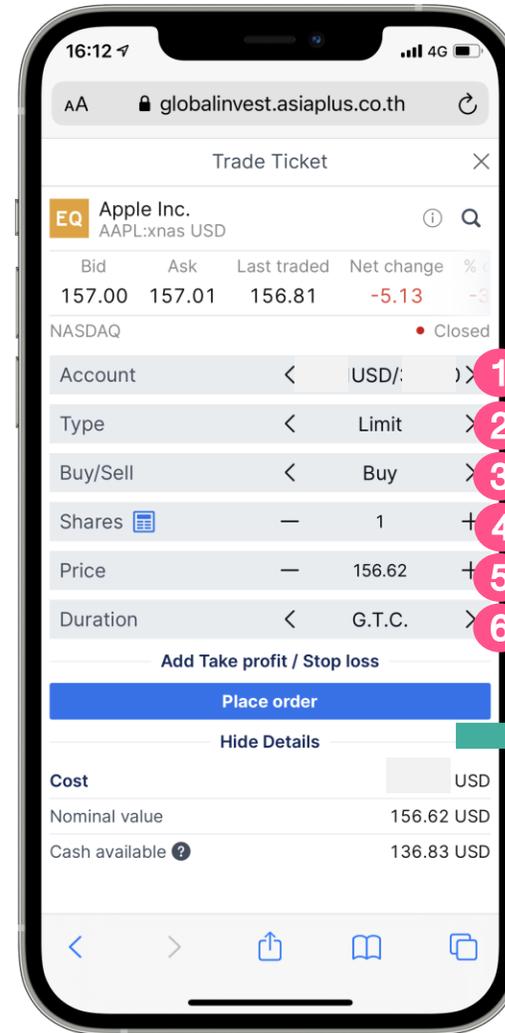
3. Select instrument types
such as stocks and ETFs
by select **All Products** **2**



Start Trading

4. After search stocks, select interested stock then the screen will appear as figure

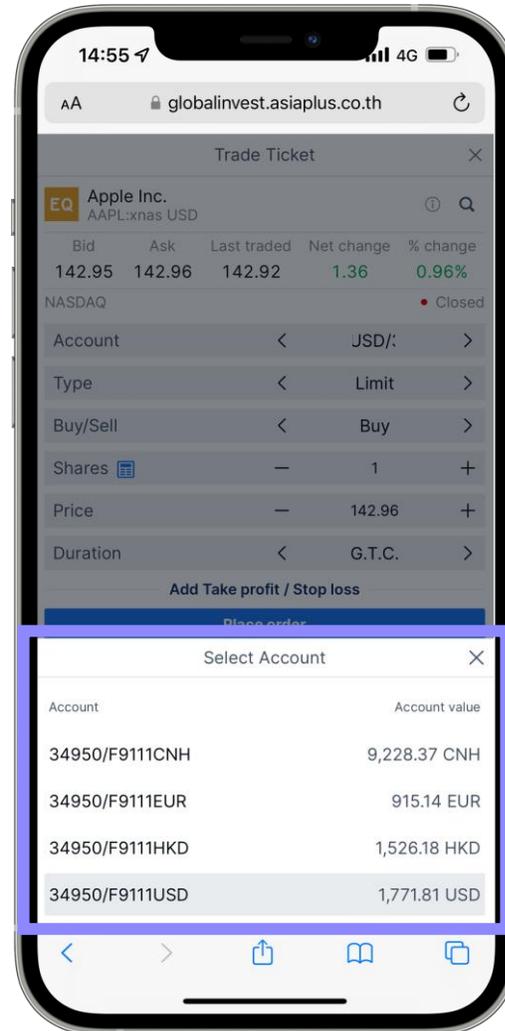
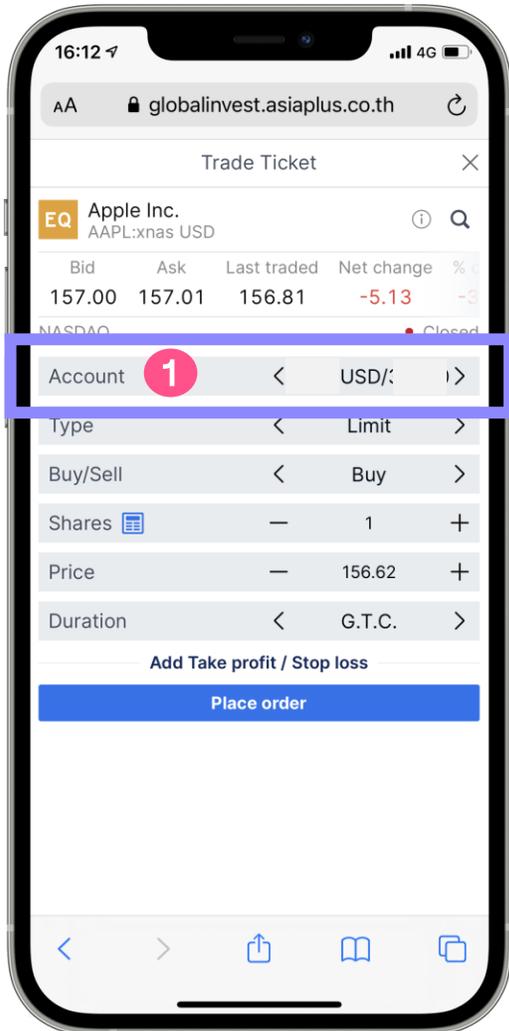
5. Select **Trade** to start trading therefore the screen will appear as figure 2



Input following information

- 1 Account: Default based on country
 - 2 Order Type
 - 3 BUY/SELL
 - 4 No. of shares
 - 5 Share Price
 - 6 Order Duration
- Select Show Details to view..
- Commission fee
 - Nominal Value
 - Cash Available for trading

How to Place an order?



1 Account: Select Trading Account

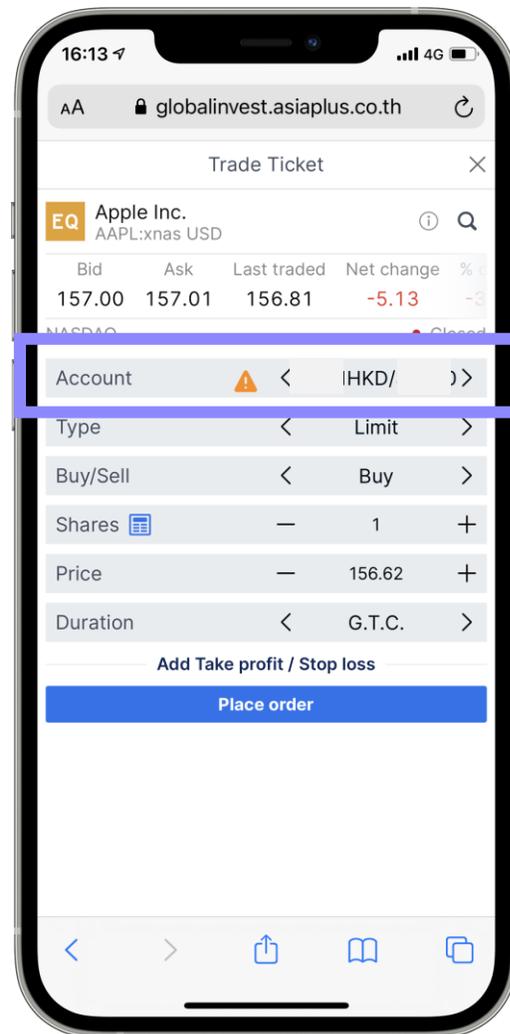
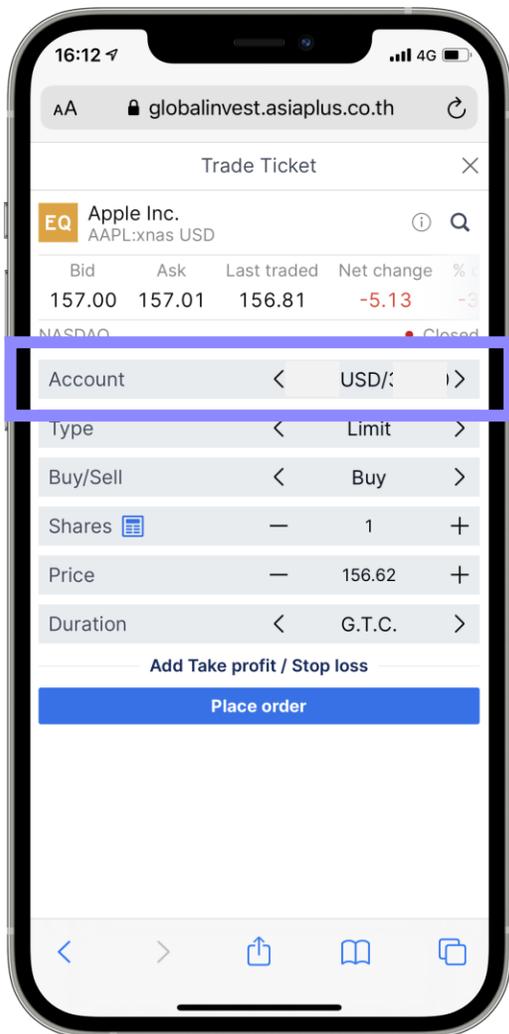
For U.S. stocks , the system will appear as USD Account by Default.

For HK stocks , the system will appear as HKD Account by Default.

For A-Share stocks , the system will appear as CNH Account by Default.

For EU stocks , the system will appear as EUR Account by Default.

How to Place an order?



If select wrong trading account

For example: if select HKD account to buy Apple stock, which listed in U.S., therefore error message  will appear

Disclaimer:

In case, the client willing to trade using cross-currency the system will automatically convert currency to settle the trade.

Tips ! UK Stock Trading

Trade Ticket				
EQ LVMH Moet Hennessy Louis Vuitton MC:xpar EUR				
Bid	Ask	Last traded	Net change	% change
584.00	584.30	577.80	-39.60	-6.41%
Euronext Paris ● Closed				
Account	<	EUR/34950	>	
Type	<	Market	>	
Buy/Sell	<	Buy	>	
Shares	-	100	+	
Duration		Day Order		
Add Take profit / Stop loss				
Place order				
Hide Details				
Cost	58.43 EUR			
Nominal value	58,430.00 EUR			

Trade Ticket				
EQ AstraZeneca Plc AZN:xlon GBp				
Bid	Ask	Last traded	Net change	% change
8,689.00	8,693.00	8,679.00	-241.00	-2.7%
London Stock Exchange ● Closed				
Account	<	EUR/34950	>	
Type	<	Market	>	
Buy/Sell	<	Buy	>	
Shares	-	100	+	
Duration		Day Order		
Add Take profit / Stop loss				
Place order				
Hide Details				
Cost	8.69 GBP			
Nominal value	8,693.00 GBP			

Tips For UK stocks trading

-UK stocks displays price in Penny (GBP)

Whereas 100 penny= 1 pound(GBP)

For example: AstraZeneca stock price equals 8,679 penny

The system will calculate commission and Trading Value in pounds (GBP)

However, the trading order will be settled in Euro (EUR)

For EU stocks trading, include UK stock use **EUR Account**

Tips! A-Share Stock Trading

Trade Ticket

EQ Kweichow Moutai
600519:xssc CNY

Bid	Ask	Last traded	Net change	% chg
2,036.00	2,036.06	2,036.00	25.45	1.2

Shanghai-Hong Kong Stock Connect ● Closed

Account < A1234CNH/34950 >

Type Limit

Buy/Sell < Buy >

Shares ☰ - 0 +

Price - 2,036.06 +

Duration Day Order

Place order

Hide Details

Cost 0 CNH

Nominal value 0 CNH

Cash available ? 10,000.00 CNH

For China A-Share stocks trading use **CNH Account**

1 Order Type: Limit (Specified Price) order only

2 No. of shares: All A-Share Lot Size is 100 stocks

3 Trading Order Duration: Day Order (within the same day) only

Important Disclaimer! The market does not permit selling order within the same day of buying instead can be sell in the next following trading day (T+1). However, buy order can be execute immediately after selling order completed

Trading Conditions

Costs Trading rates **Instrument**

Instrument	
Exchange	Shanghai-Hong Kong Stock Connect
Sector	Semiconductors
Min. trade size	1 Share
Lot size	100 Shares
Tick size	0.01
Risk rating ?	3
Collateral value ?	0%
Order types	Limit

Special rules

1. This market does not permit amending orders which are accepted by market.
2. This market does not permit selling on the same day of buying (day trading is not allowed).

ISIN CNE100001FR6

Restriction regarding trading order comply with China Securities Regulatory Commission (CSRC)

*Displayed Price lagged by 15 mins

Key Tips! Before trade A-Share Stocks

Lot Size for A-Share Stocks

- All A-Share Lot Size is 100 stocks, which means minimum trading size is 100 stocks and 100X

Applicable order Type

- Limit (Specified Price) order only
- If willing to trade at Market Price:
 - BUY order– Specified BUY Price higher than Current Market Price (+/- 10% of previous day closing price)
 - SELL order– Specified SELL Price lower than Current Market Price (+/- 10% of previous day closing price)
- No Take Profit / Stop Loss
- No Edit for A-share Stocks order. If willing to edit, cancel and create new order.

Trading Order Duration

- Day Order (within the same day) only

Sell order Restriction

- The market does not permit selling order within the same day of buying instead can be sell in the next following trading day (T+1). However, buy order can be execute immediately after selling order completed

A-Share stocks FAQs

1. Are China A-Share stocks eligible to trade online ?

Ans: Yes, the online trading system will be available in July onwards

2. What are A-Share Trading Hours in Thailand time?

Ans: 8.30-14.00

3. Is A-share Stock Exchange trading hours same as Hong Kong Stock Exchange?

Ans: Based on Thailand time, A-Share Stock Exchange open at 8:30 same as Hong Kong Stock exchange BUT closing time are different. A-Share stock exchange close at 14:00 while Hong kong Stock Exchange close at 15:00.

4. What currency used for A-Share Stocks Trading?

Ans: CNH (Offshore Yuan)

5. What is the main difference between CNH (Offshore Yuan) and CNY (Onshore Yuan)?

Ans: CNY only used in mainland China (Onshore Market), while CNH can be used everywhere outside of mainland China (Offshore Market)

6. Can client transfer CNH (offshore Yuan) from another Brokerage Account to Global Invest Platform?

Ans: Not Applicable

7. What are the exchange ratio between CNH and CNY ?

Ans: Most of the time CNH and CNY amount to the same value (1:1) but sometimes might be slightly different.

A-Share stocks FAQs

8. What are A-Share Stock Exchanges and the difference between them?

Ans: 1. Shanghai Stock Exchange; SSE

2. Shenzhen Stock Exchange; SZSE

Shanghai Stock Exchange constituent is mostly securities with large market-capitalization or state-controlled firm, while Shenzhen Stock Exchange composed with securities related to New economy, technology and innovation (similar to Nasdaq).

9. Are all securities listed on mainland China eligible for trading?

Ans: Not all securities, securities in following index are NOT eligible for trading:

- **ChiNext** – the constituent within **Shenzhen** Stock Exchange, which the ticker begins with 300
- **Star Market** – the constituent within **Shanghai** Stock Exchange, which the ticker begins with 688

ChiNext is **Shenzhen** Nasdaq while Star Market is **Shanghai** Nasdaq, both mainly composed with Innovation companies.

10. What is Shanghai-Hong Kong Stock Connect ?

Ans: Cross-boundary investment channel that connects Shenzhen Stock Exchange, Shanghai Stock Exchange and Hongkong Stock Exchange. The scheme allows investors of their respective market to trade in stocks of others' market.

A-Share stocks FAQs

11. What is the difference between Northbound Trading and Southbound Trading?

Ans: 1. Northbound Trading is the trading of mainland-listed stocks from the Hong Kong Stock Exchange (Foreign Investors).

2. Southbound Trading is the trading of Hong Kong stocks from mainland China investors.

12. In case of receiving dividend, will shareholder be subject to dividend tax?

Ans: Dividends paid to shareholders are subject to a withholding tax at the rate of 10%.

13. What are the types of Chinese Stocks that are applicable for foreign investors?

- **A-Share** : Chinese stocks listed in mainland China stock exchange, including Shanghai and Shenzhen stock exchange. For example, indexes that are included are CSI300 Index (Top 300 A-Share stocks listed on mainland China) and FTSE China A50 (Top 50 A-Share stocks listed on mainland China)
- **H-share** : Chinese stocks listed in Hong Kong stock exchange
- **China ADR (American Depositary Receipt)** : Chinese stocks listed in U.S. market

13. What is the minimum number of shares (Lot size) for trading A-share stocks?

Ans: 100 shares

14. What are the trading order types of Chinese stocks?

Ans: Limit Order only and need to be executed within the same day (Day Order), therefore Aggressive Limit order can be set within the range of +/- 10% of previous day closing price.

A-Share stocks FAQs

15. Is day trading allowed?

Ans: Day trading is not allowed for A-Share Stocks. The market does not permit selling order within the same day of buying instead can be sell in the next following trading day (T+1). However, buy order can be execute immediately after selling order completed

16. Is stock quote that shown on online trading system Real-Time quote?

Ans: Displayed quote is lagged by 15 minutes

17. Is there Real-time Subscription of China A-Share quote?

Ans: Unavailable but Real-time quotes can be view for free through:

http://bochk.etnet.com.hk/content/bochkweb/asharecontent/eng/ashare_quote.php?code=601012

18. Where to view A-share Real-time Price ?

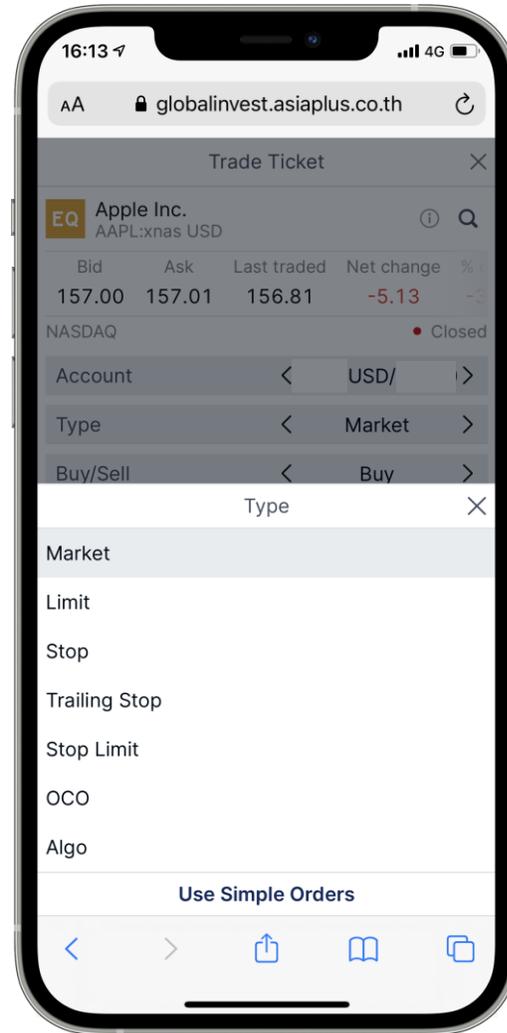
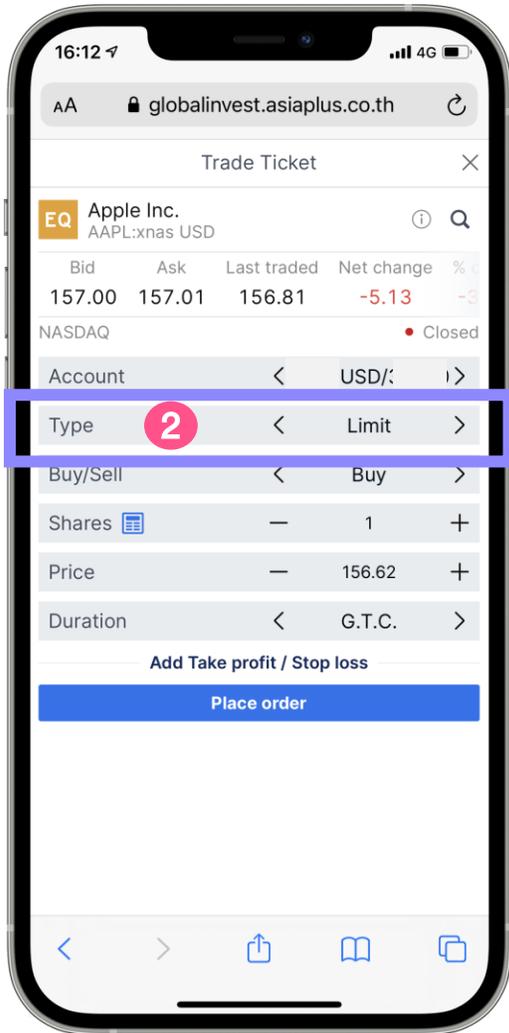
Ans: Can be view on http://bochk.etnet.com.hk/content/bochkweb/asharecontent/eng/ashare_quote.php?code=601012

19. What are the resources for information regarding A-Share Stocks?

Ans:

- <https://www.chinadaily.com.cn/>
- <https://jingdaily.com/>
- <https://www.globaltimes.cn>
- <http://www.aastocks.com/en/cnhk/default.aspx>

How to Place an order?



2 Type: Select order type

Market: order place at current best available price

Limit: order to trade at specific price

Stop: order that triggered when a stock moves past a specified price

Trailing Stop: order will be adjusted by trailing amount based on the direction of stock price

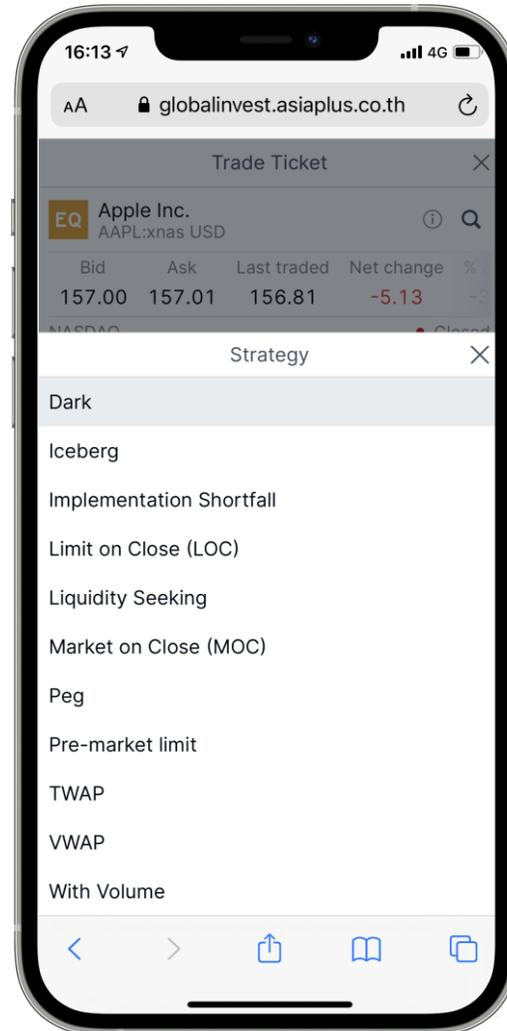
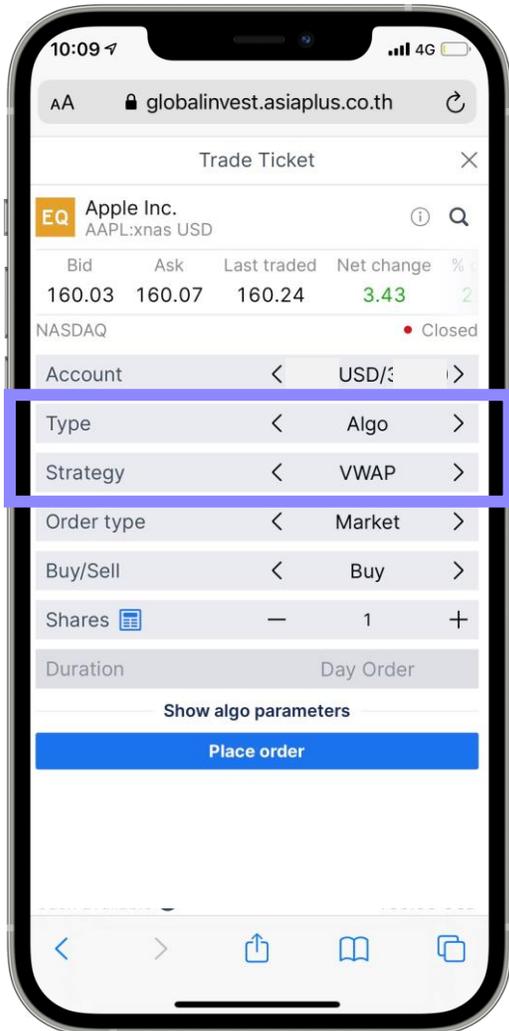
Stop Limit: match Best Price Available in case that Market open price is remarkably jumps or falls from the last closing price

OCO: place 2 types of orders simultaneously to define upper and lower limit whichever order matches first, the system will automatically cancel another

Algo: use Algorithm trading thus additional fees will be charged for some strategies

**Displayed Price lagged by 15 mins*

How to Place an order?



Type: Special order type **Algo**

9 strategies available such as VWAP which is trading order that match price that close to daily average price

Therefore, VWAP use historical trading information to calculate Volume Profile *Additional Fees applies

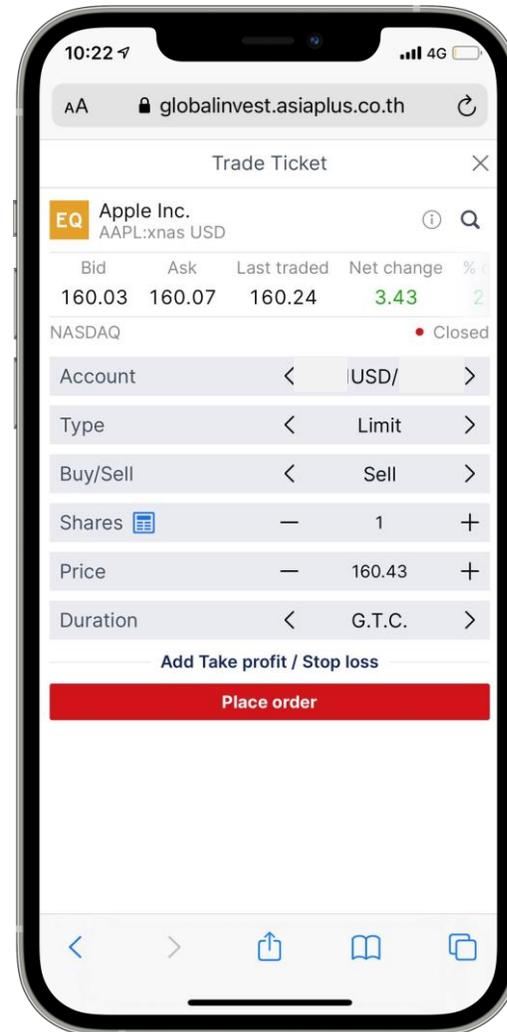
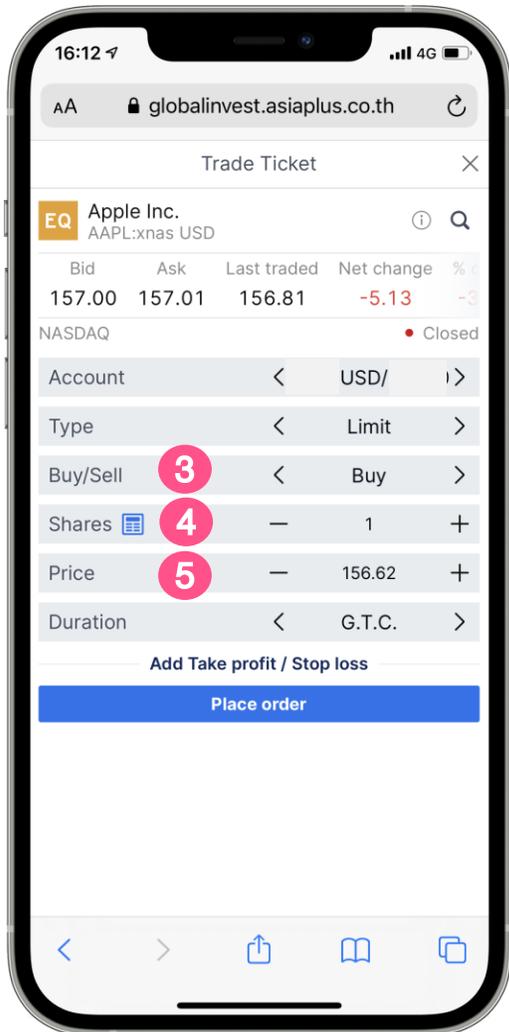
ค่าธรรมเนียมและขั้นต่ำในการส่งคำสั่ง	VWAP
U.S. stock exchange	0.5 cents per shares
Hong Kong stock exchange	3 bps (0.03%)
EU stock exchange	0.5 cents per shares
Minimum trading size per order (USD)	25,000

*Minimum Trading size is subject to each stock exchanges regulation

**Commission fee in the table above will be charge on-top of normal commission fee and it is subject to change

***For more information related to Algo, contact Global Markets

How to Place an order?



3 Select Buy/Sell

4 Shares: enter number of shares

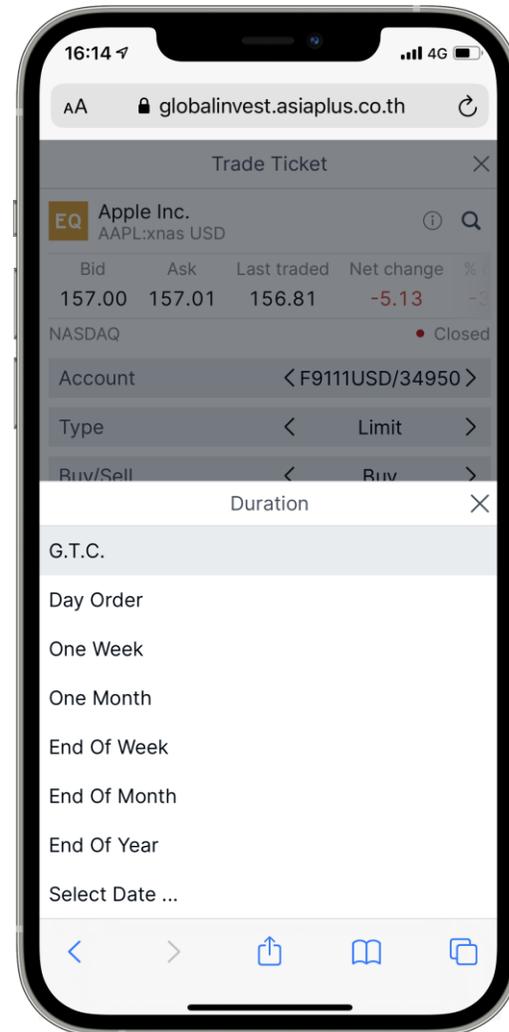
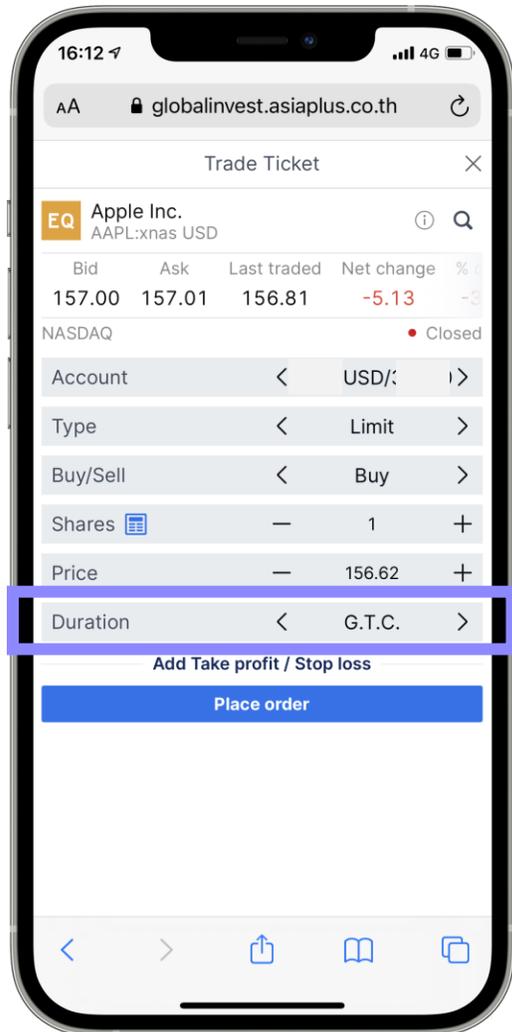
*For Hongkong Stocks, select ⓘ to view lot size before execute the trade

Select “Instrument” to view Lot Size, for example, 100 Shares refer to minimum order size of 100 stocks or 100X

*For all A-Shares stocks, Lot Size is 100 stocks.

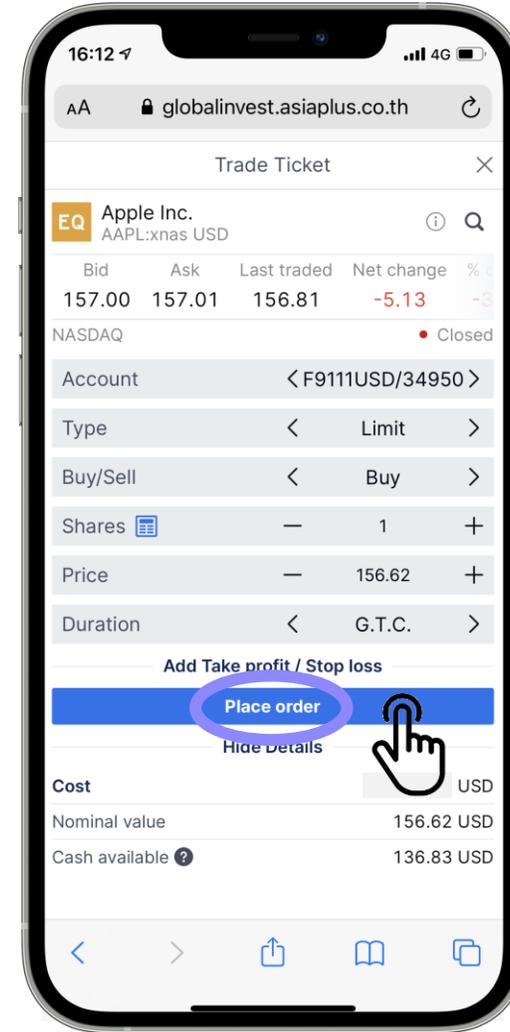
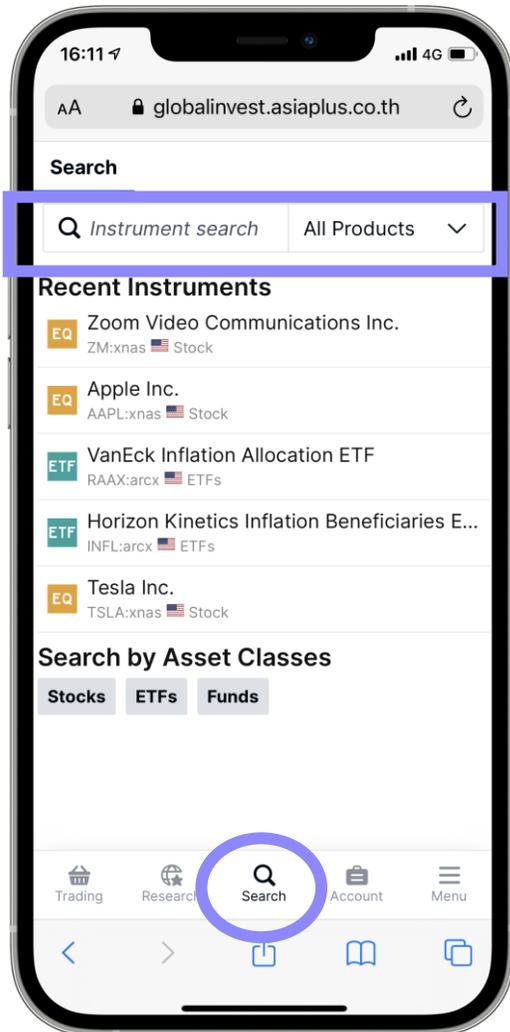
5 Price: select specified price

How to Place an order?



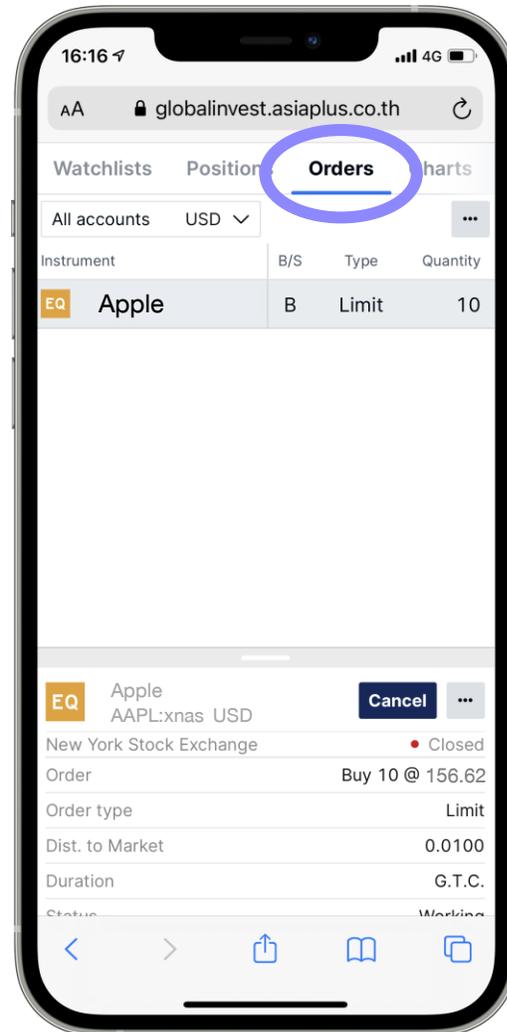
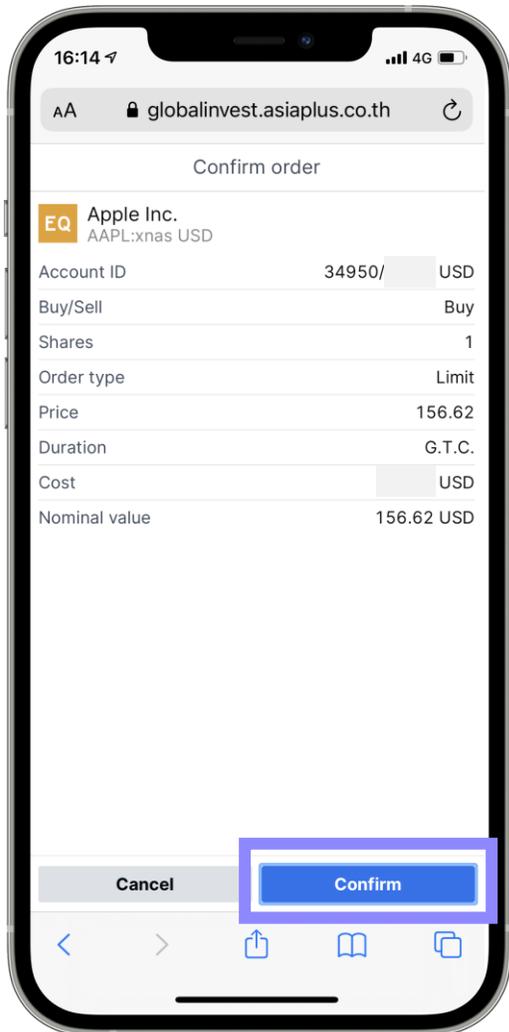
- 6 Duration: select order duration that will be in effect
 - GTC –in effect until executed or cancelled
 - Day Order – expires at the end of the trading day if it is not completed
 - One Week – in effect for 1 week
 - One Month – in effect for 1 month
 - End of week – expires at the end of the week
 - End of month – expires at the end of the month
 - End of year – expires at the end of the year
 - Select Date –customize duration

How to Place an order?



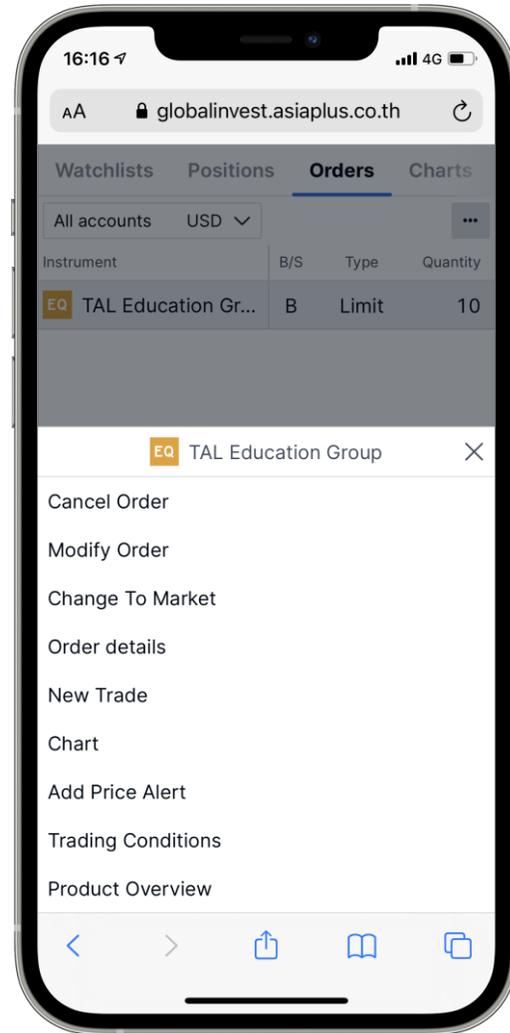
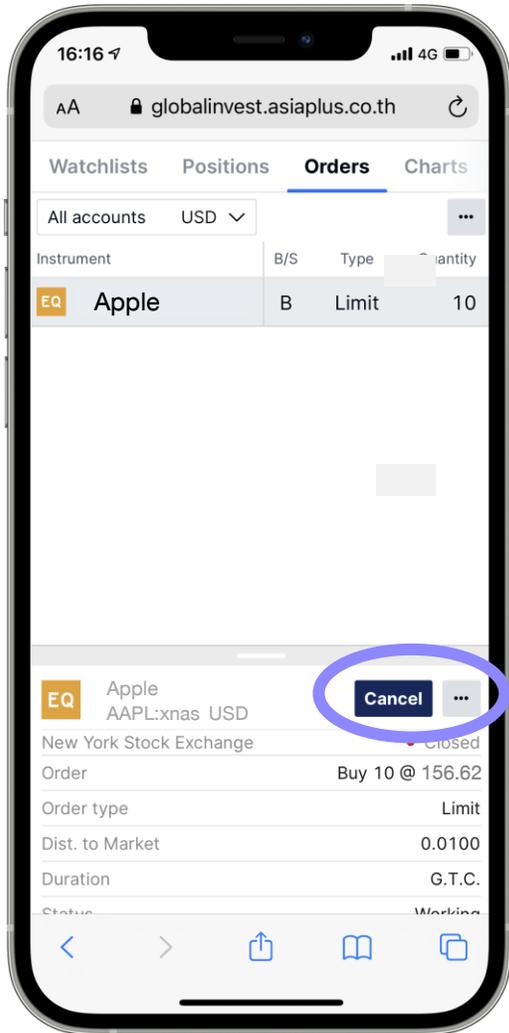
- Select **“Search”**, then enter selected stock name in **“Instrument Search”**
- Select **“Trade”**
- Enter information, then select **“Place order”**
- Order will match at Real time Price on the exchange

How to Place an order?



- Confirmation window will appear, check order details once again before proceed to select **“Confirm”**
- Active Order will display in **“Orders”** tab

Recheck, Edit and Cancel order



Cancel cancel an active order by select **“Cancel”**

The system will display confirmation window

... Select here to view additional functions

Modify Order: make an adjustment on active orders

Change to market: change to market order

Order Details: view stocks/ETFs order details

Trade: place Additional orders

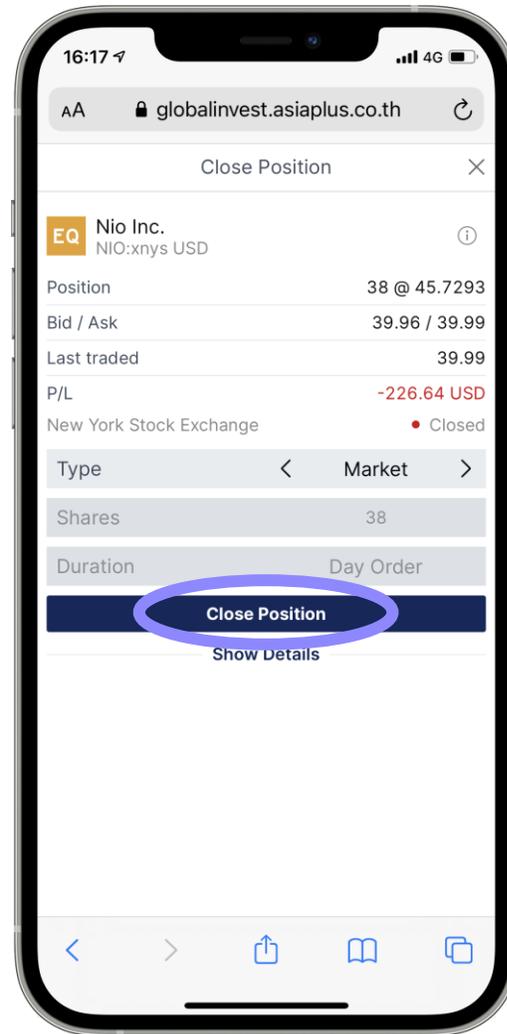
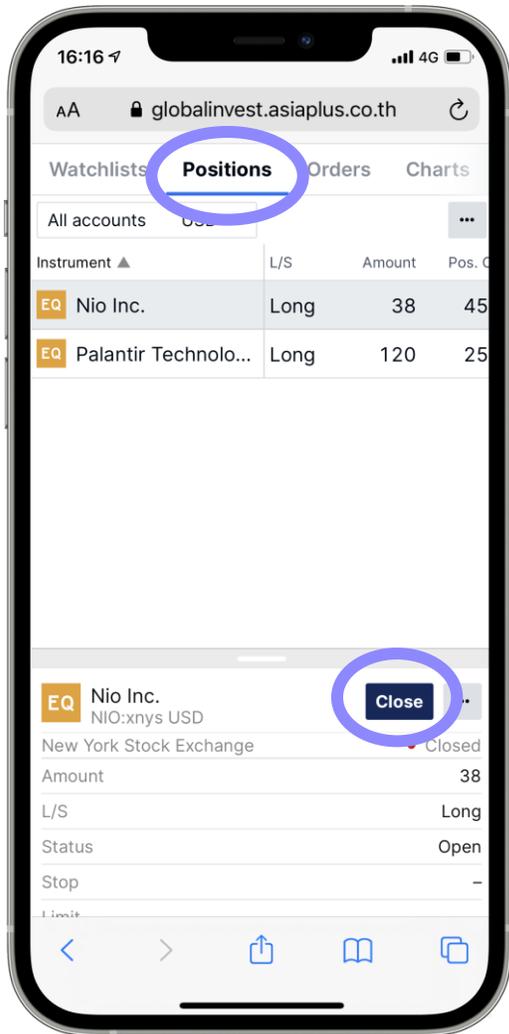
Chart: view Charts

Add Price Alert: set an alert when price reach specific point

Trading Conditions: view commission fee & trading information

Product Overview: view stock price, charts and related news

Sell order



- In Position, select stock that willing to sell by **“Close”**
- Choose sell order type ex. Market (Market Price) or Limit (Specified Price)
- Proceed to select **“Close Position”**

In case, the client bought the stock several times

Select + to view each transactions and can specifically select which transaction to close.

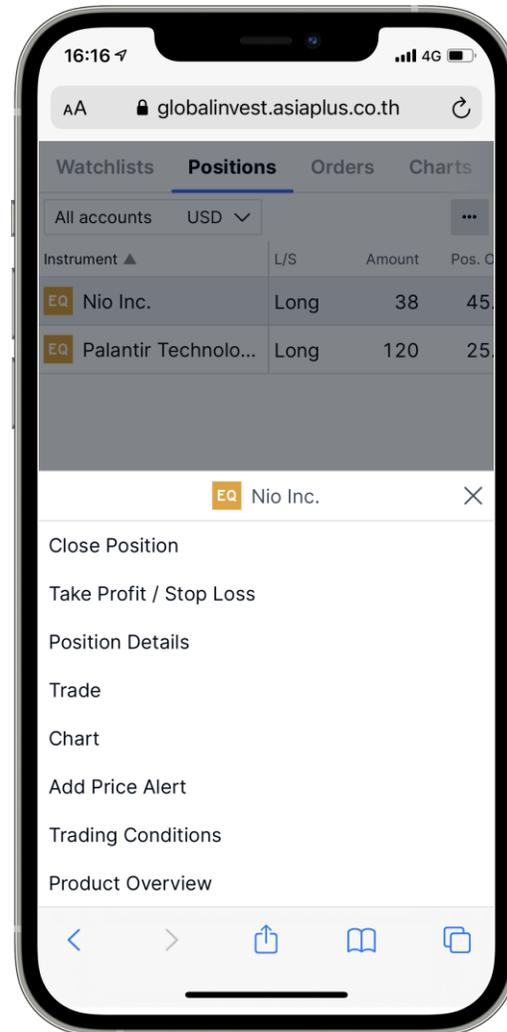
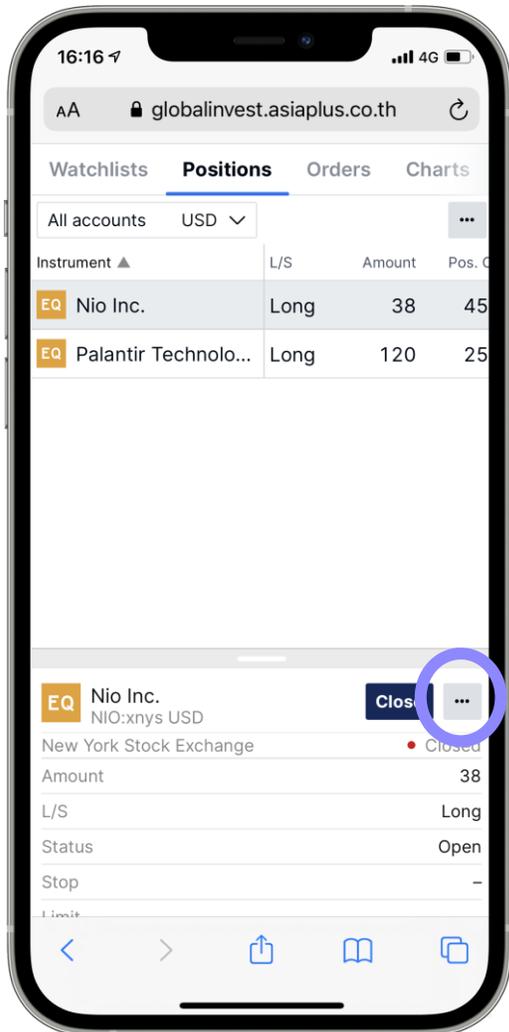
Transactions show in descending order

Disclaimer:

-If select close on total position, the system will execute order based on First In First Out (FIFO) method

-If select + to view additional transactions, the user can specifically select which transaction to close

Sell order



Select  to view additional menus such as

Close Position: sell existing stock in portfolio

Take Profit/Stop Loss: set take profit or stop loss

Position Details: view stocks/ETFs details

Trade: place additional orders

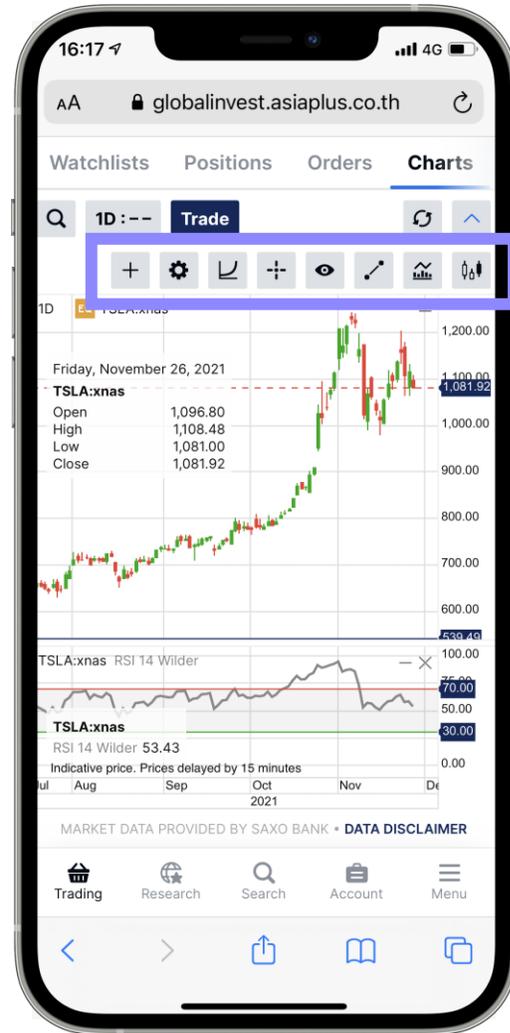
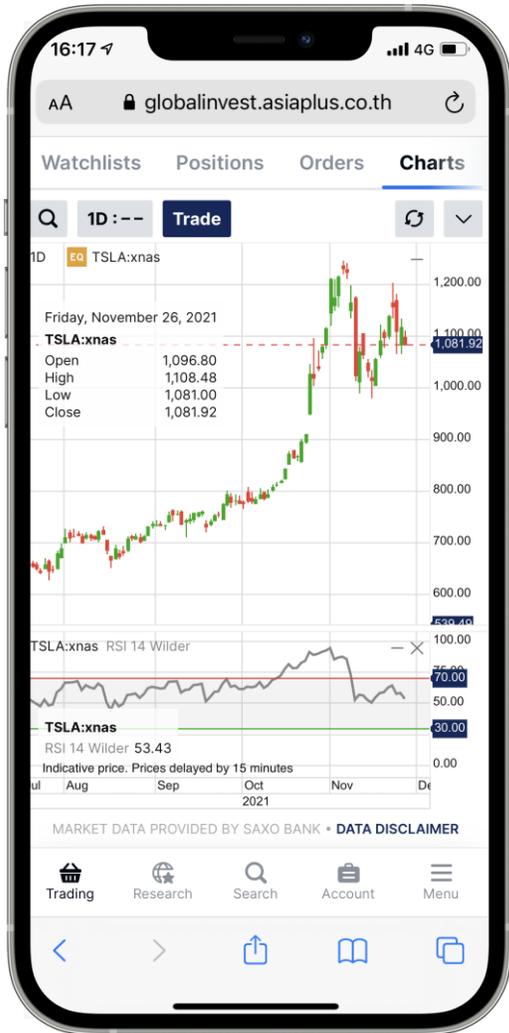
Chart: view charts

Add Price Alert: Set an alert when price reach specific point

Trading Conditions: View commission fee & trading information

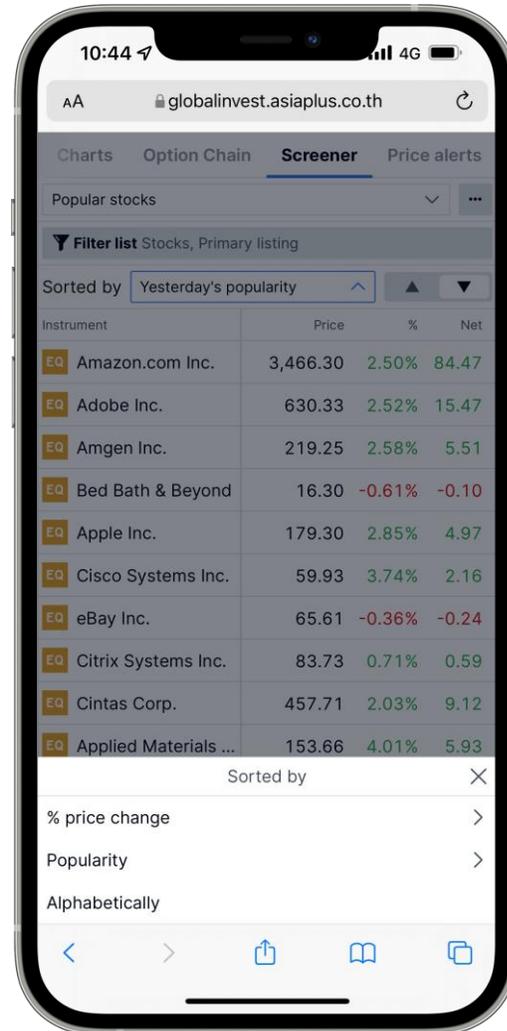
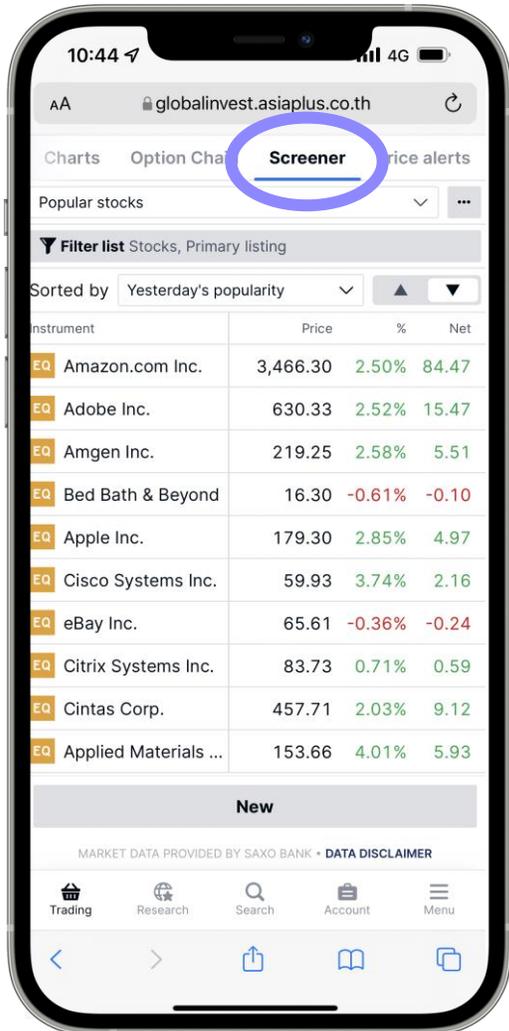
Product Overview: View stock price, charts and related news

View Charts in Global Invest Platform



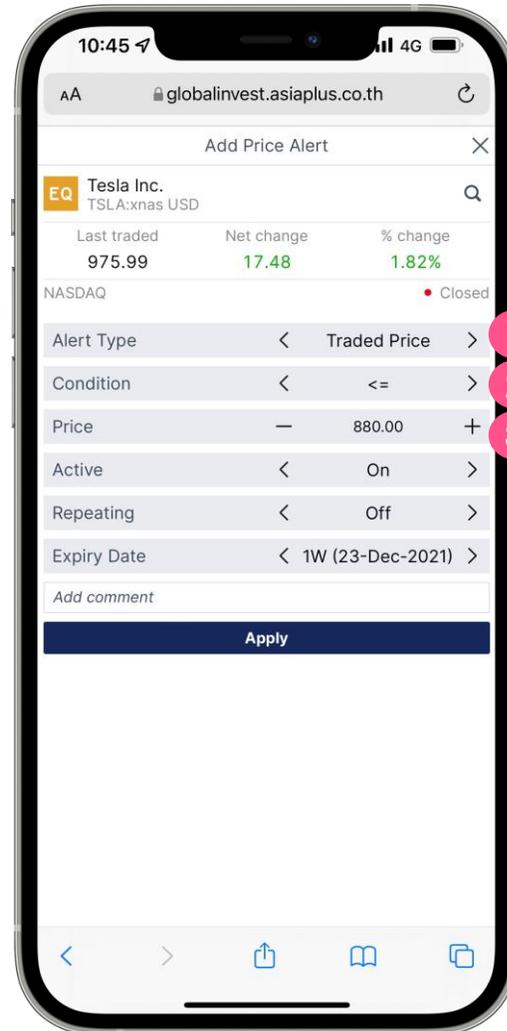
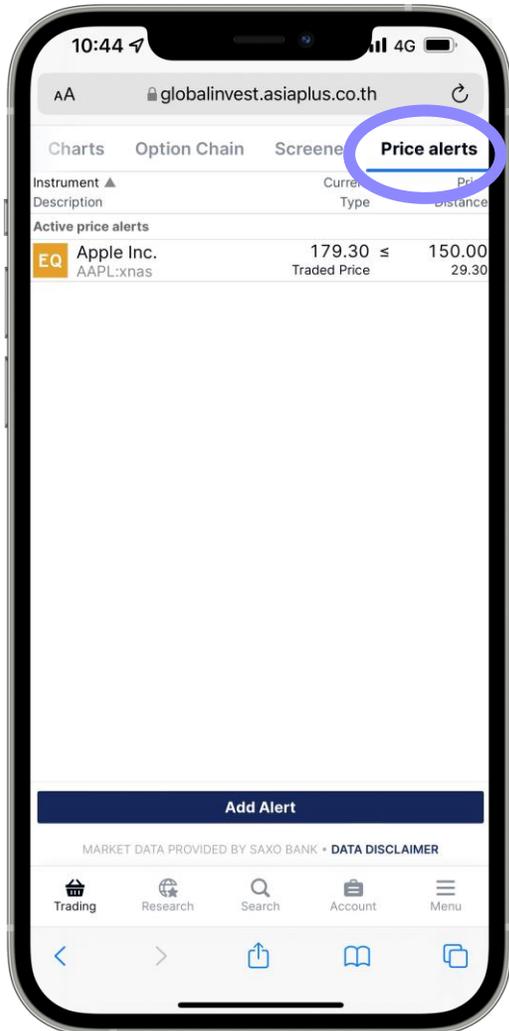
1. Enter "Trading" menu, then select "Charts"
2. Search stocks 
3. Choose Timeframe **1D : --**
4. Choose Indicators and technical analysis tools 
5. Compare different stocks by select  and enter stocks
6. Select **Trade** to trade

Browse stocks by using “Screener”



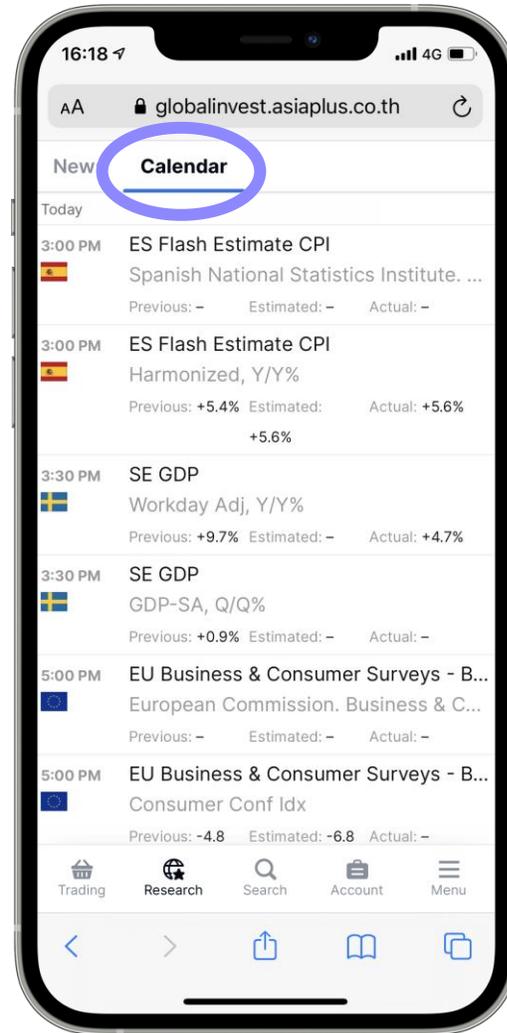
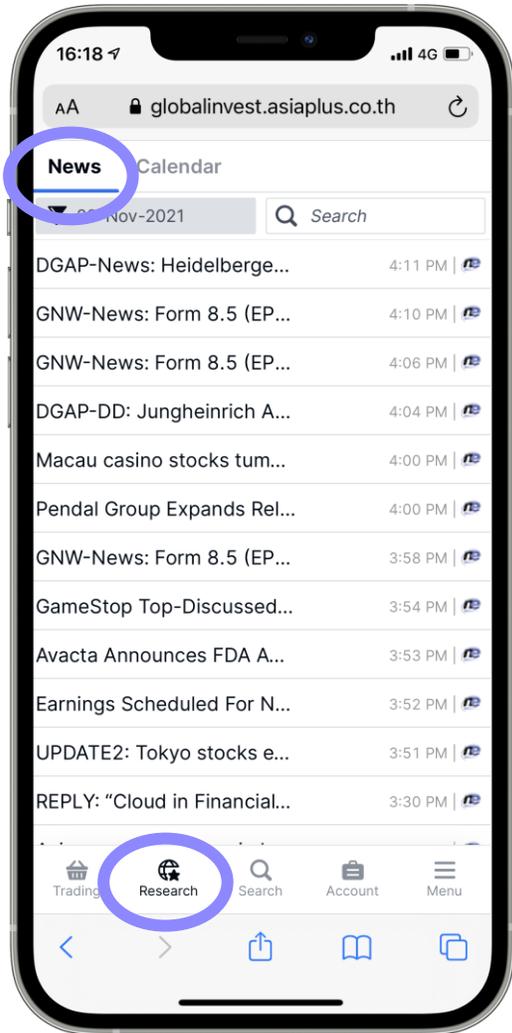
- Enter “Screener” menu
- Filter stocks by:
 - %Price Change: stocks with highest %price change
 - Popularity: stocks with highest trading volume
 - Alphabetically: rank stocks in alphabetically order

Set “Price Alert” when stock reaches specified point



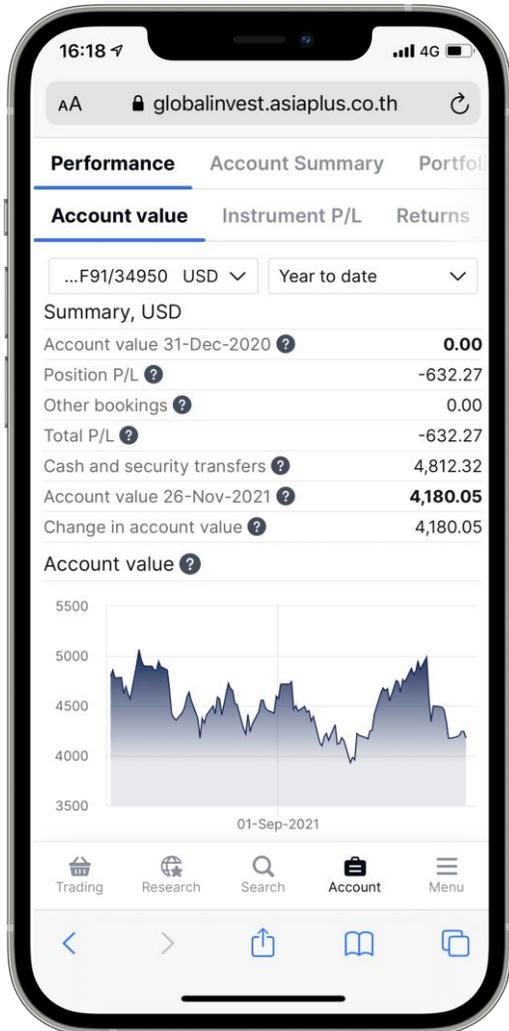
- Enter “**Price Alert**” menu, select stocks and input alert conditions
- Alert Type: Price/Price change
- Condition: > or <
- Input specific price for alert
- The Notification will Pop-up when stock price reaches specified price
- Or may send an alert via Email by enter **Menu** → **Setting** → **Notification**

Update business related and stock markets insight



1. Enter **“Research”** menu
2. Select **“News”** to view stocks-related news
3. Select **“Calendar”** to view economic data

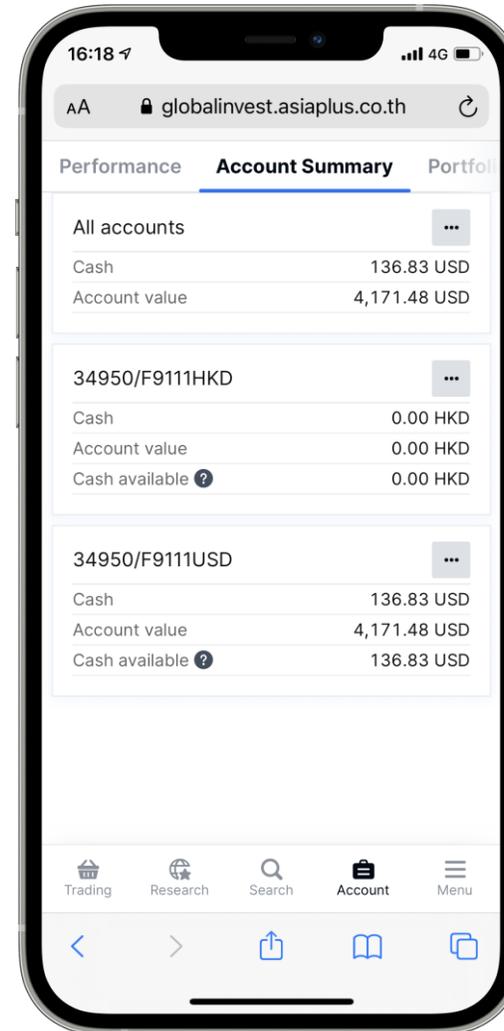
Important tabs under “Account” menu



Performance

“Account Value” Menu

Shows information related to portfolio, such as value movement and total profit/loss

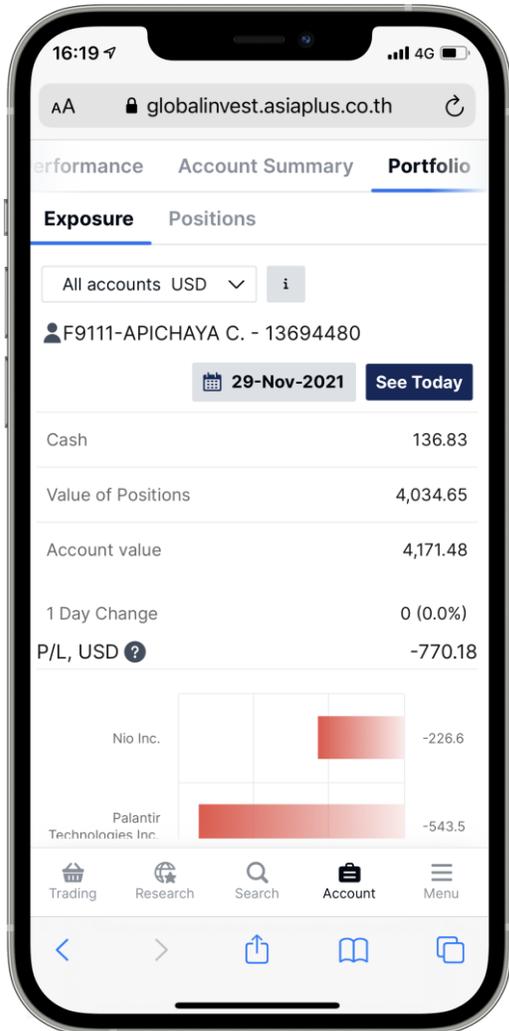


Account Summary

Account Value: shows current portfolio value

Cash Available: shows cash available for trading

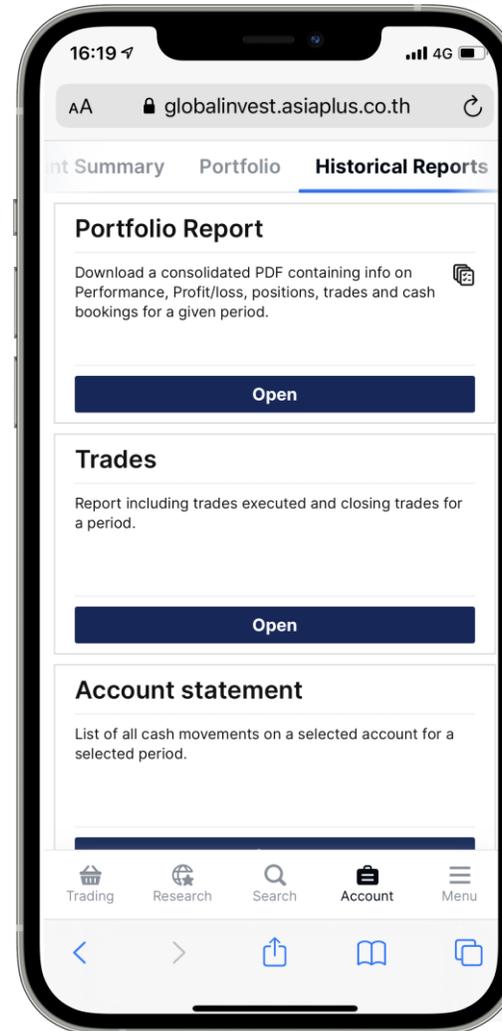
Important tabs under “Account” menu



Portfolio

Exposure: Current Portfolio Holdings Weight Exposure

Positions: View current portfolio holdings



Historical Reports

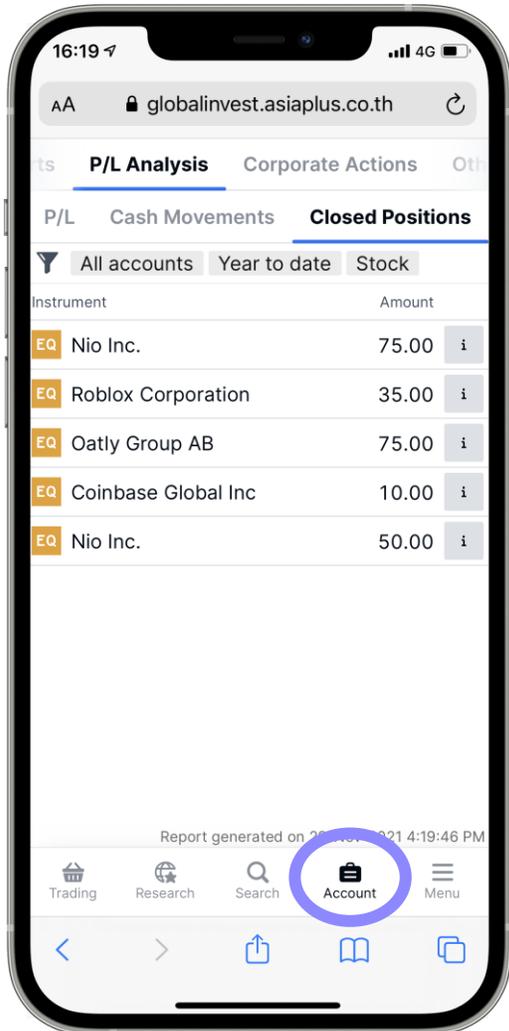
Portfolio Report: contains information related to investment portfolio

Trades: shows all trading information

Account Statement: shows cash movement in an account

Share Dividends: displays dividend information

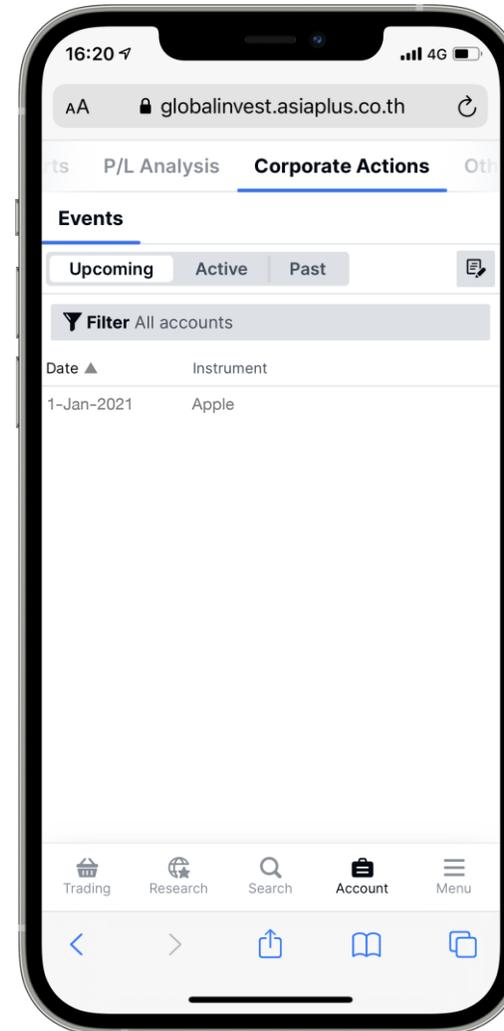
Important tabs under “Account” menu



P/L Analysis

In “Closed positions” menu

displays all stocks that have been sold



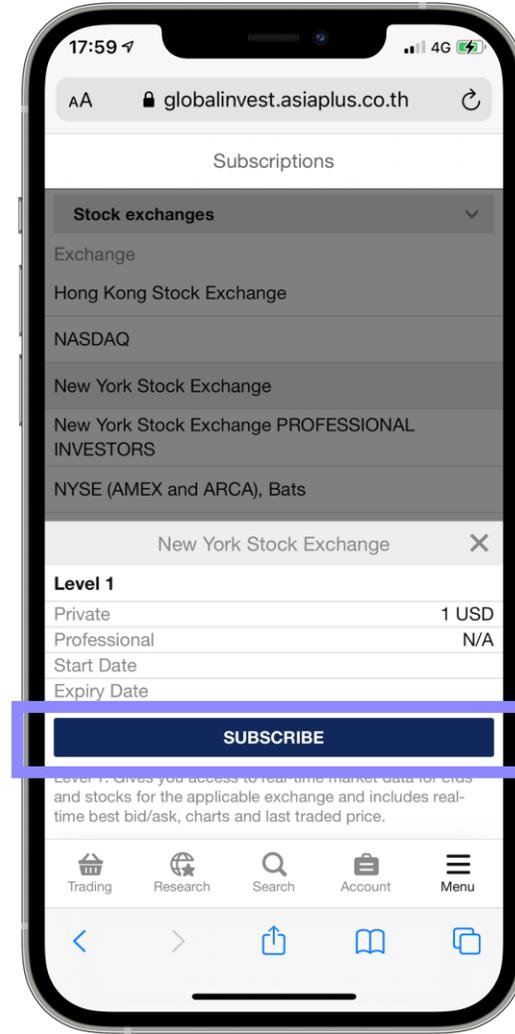
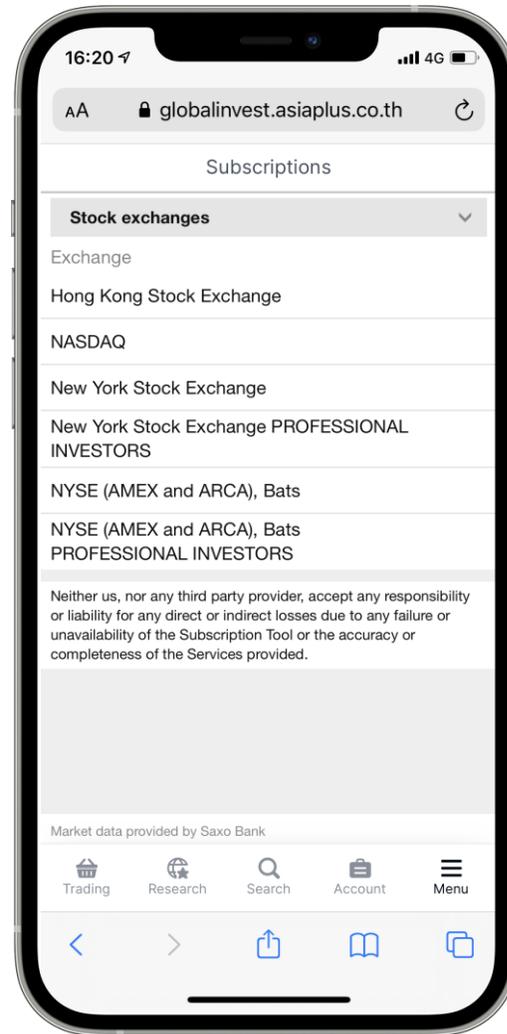
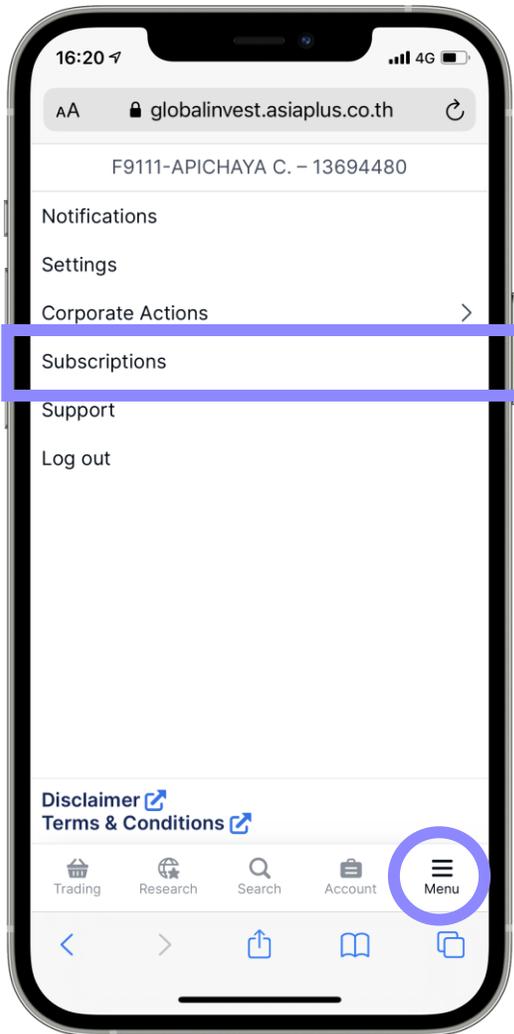
Corporate Actions

Upcoming: views upcoming Corporate Action

Active: views current Corporate Action

Past: views past Corporate Action

Real time Subscription



- Enter “Menu” and select **“Subscriptions”**
- Expense will be deducted from account balance at the end of the month
- Select start date

Subscriptions

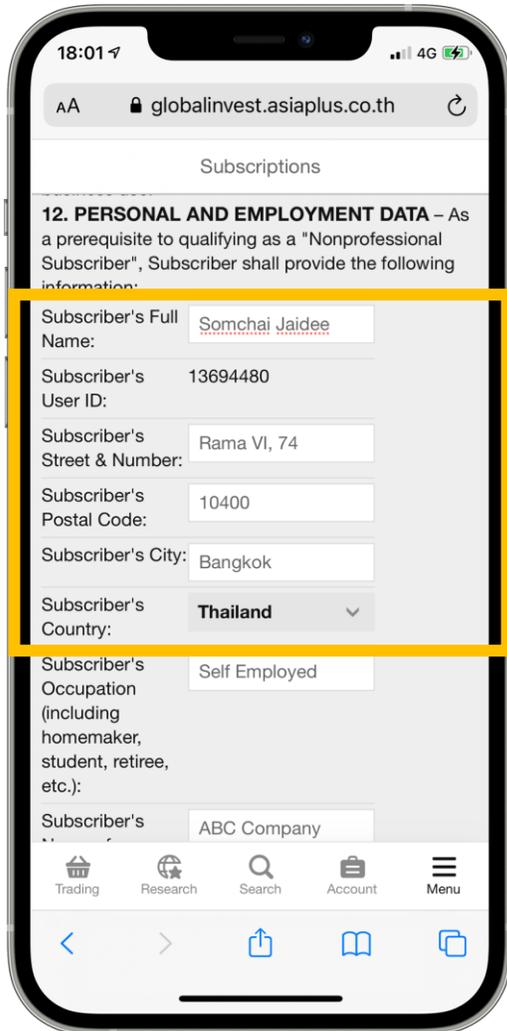
Subscribe to New York Stock Exchange

Start now
 Start next month (01-Jun-2021)

The subscription fees are charged to your account immediately after you subscribe to a service and you are always charged for a full calendar month regardless of the date of subscription.

Corporate clients are considered by the exchanges to be PROFESSIONAL INVESTORS and charged the professional end-user fees accordingly unless specifically exempted in the terms of the subscriber agreement.

Real time Subscription



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Subscriptions

12. PERSONAL AND EMPLOYMENT DATA – As a prerequisite to qualifying as a "Nonprofessional Subscriber", Subscriber shall provide the following information:

Subscriber's Full Name: Somchai Jaidee

Subscriber's User ID: 13694480

Subscriber's Street & Number: Rama VI, 74

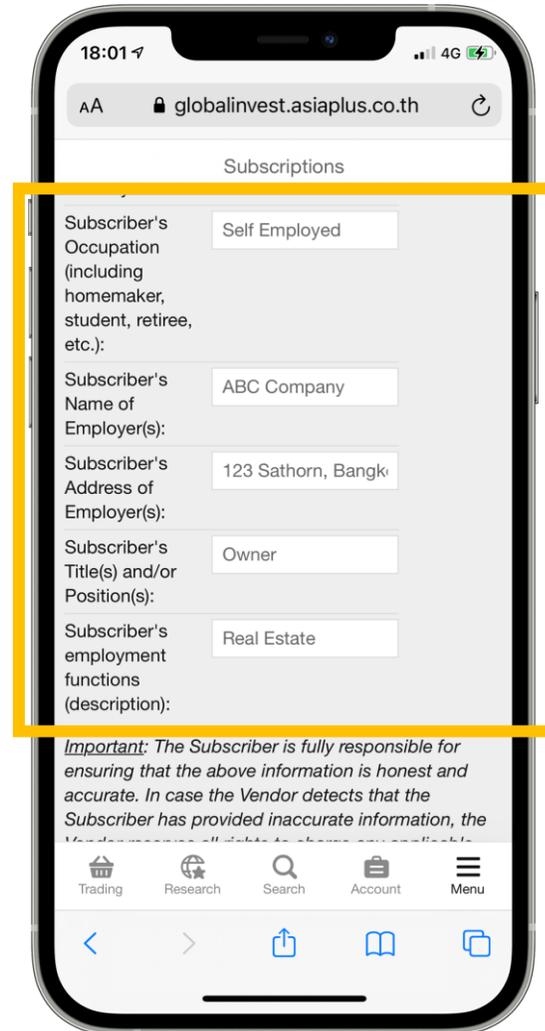
Subscriber's Postal Code: 10400

Subscriber's City: Bangkok

Subscriber's Country: Thailand

Subscriber's Occupation (including homemaker, student, retiree, etc.): Self Employed

Subscriber's Name of Employer(s): ABC Company



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Subscriptions

Subscriber's Occupation (including homemaker, student, retiree, etc.): Self Employed

Subscriber's Name of Employer(s): ABC Company

Subscriber's Address of Employer(s): 123 Sathorn, Bangkok

Subscriber's Title(s) and/or Position(s): Owner

Subscriber's employment functions (description): Real Estate

Important: The Subscriber is fully responsible for ensuring that the above information is honest and accurate. In case the Vendor detects that the Subscriber has provided inaccurate information, the Vendor reserves the right to reject the subscription request.

Enter information for subscription

Subscriber's Full Name

Subscriber's Street & Number

Subscriber's Postal Code

Subscriber's City

Subscriber's Country

Subscriber's Occupation

Subscriber's name of Employer

Subscriber's Address of Employer

Subscriber's Title

Subscriber's employment function

**** If any information is missing, the system will reject the request therefore need to apply for subscription service again**

Important Disclaimers

- Some stocks are unavailable to trade due to **low liquidity and high risk**, therefore Risk Management system will not accept the trading order
- In case client make a market order (Market Price) for high volatility stock before market close, the system will retain Buffer to manage risk of insufficient cash amount to buy stock if the order value was set closely to maximum cash available. **System may reject order during 19.00-20.30 (BKK Time) for U.S. stocks**
- If cancel or adjust an order near market open-close time (Auction) the system may not allow for adjustment
- If client willing to trade at open price use market order (Market Price) and submit before market opening, therefore order will match 3-4 seconds after market opens
- In case client make a limit order that limit price is set significantly far away from open price, there is high chance that the order will not be match since the exchange has certain rules applies with regard to Price Band (Price band is boundaries for stock trading, which is difference for each stock and can be change.)
- Trading order will match when there is an actual price movement on Primary Exchange, therefore for some stocks, depending on liquidity, the client may place an order before market opens but will not match immediately when opens at 20:30 instead delay for a while.
- Browser Version supported Global Invest system must be Chrome Version 62.0+ / Safari version 12.0+ / Samsung Internet 16.0.0 / Android 11.0.0 / iOS 15.1.1 above. Un-updated Version may create error

Access additional information related to constraints and risks here <https://listingcenter.nasdaq.com/rulebook/nasdaq/rules>

Asia Plus Global Investment

Contact Global Investment

 0 2680 1888

 global@asiaplus.co.th



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