

User Guide

ASP Global Invest

"Mobile Version" 01001010010101 01010010101100100







"Global Invest" Offshore Trading Platform



Eligible Stock Exchanges under Global Invest Platform



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Eligible European Stock Market

		Country	Exchange	Exchange Code
		U.K.	London Stock Exchange	xlon
		France	Euronext Growth Paris	xpar
		Germany	Deutsche Börse (Indices & ETFs)	xetr
		Netherlands	Euronext Amsterdam	xams
		Spain	BME Spanish Exchanges	xmce
	\mathbf{O}	Italy	AIM Borsa Italiana/Milan Stock Exchange	xmil
	\mathbf{O}	Denmark	NASDAQ OMX Copenhagen	xcse
	\bigcirc	Sweden	NASDAQ OMX Stockholm	xome
	•	Norway	Oslo Børs/Oslo Stock Exchange	xosl
	Ū	Ireland	Euronext Dublin	xdub
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Eligible Chinese Stock Market



Chinese Stock Exchange available for trading

- 1. Shanghai Stock Exchange (SSE)
- 2. Shenzhen Stock Exchange (SZSE)
- Through Stock Connect

What is Stock Connect?

Cross-boundary investment channel that connects Shenzhen Stock Exchange, Shanghai Stock Exchange and Hongkong Stock Exchange. The scheme allows investors of their respective market to trade in stocks of others' market.

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How many A-Share available for trading?

Approximately 700 stocks





1. For iOS

Download "ASP Smart" on

App Store

Must be latest version 3.9.1

สำหรับ Android

Download "ASP Smart" on Play Store

Must be latest version 3.9.3



2. Username & Password

Username: Your Global Investment Account (7 Digits) such as 00A1234

Password: Your temporary password as attached.

Re-create your password via www.asiaplus.co.th and Login again Password"

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Highlight











5.Related menu related Global Investment

Trade & Invest: Access to "Global Invest Trading Platform"

Research: Access to Global Strategy Paper

Product Information: Access to Global Investment information





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Create Watchlists



Main interface "Trading"

1.Select Watchlist

2.Select -

3. Choose "New watchlist"

4.Name the Watchlist then Save the Watchlist

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EQ Apple Inc.	156.81	-3.17%
EQ Microsoft Corp.	329.68	-2.44%
EQ Amazon.com Inc.	3,504.56	-2.12%
EQ Meta Platforms Inc.	333.12	-2.33%
EQ Alphabet Inc A	2,843.66	-2.69%
EQ The Walt Disney	148.11	-2.13%
EQ Procter & Gamble	147.47	-0.80%
EQ Nike Inc.	168.02	-2.33%
EQ Lululemon Athleti	456.58	-0.28%
EQ The Estee Lauder	334.35	-4.26%
EQ JPMorgan Chase	161.93	-3.01%
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EQ Microsoft Corp.	329.68	-2.44%
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Please enter the name o watchlist and we will say	f your new bla /e it to your lis	ank st.
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Create Watchlists



5.Select +

6. Select Add Instrumentto add unlimited numberof selected stock



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EV stocks	\sim	/	+				
Instrument				Price		%	
EQ Tesla Inc.			1,0	81.92	-3.0	5%	-;
EQ Nio Inc.			;	39.99	-3.4	5%	
EQ XPeng Inc	ADR		!	51.11	-6.0	אכ	
EQ BYD Comp	oany Ltd		303	2.200	0.0	7%	(
ETF KraneShar	res Elec		!	52.77	-2.6	אכ	



Start Trading



1.Select Search

2. Enter selected stock in Instrument Search 1

Either in "Full company name" or "Ticker"

3. Select instrument types such as stocks and ETFs by select All Products (2)

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Watchlists Positions	orders	Charts
ASP US Stocks	· +	
nstrument	Price	%
EQ Apple Inc.	156.81	-3.17%
Microsoft Corp.	329.68	-2.44%
Amazon.com Inc.	3,504.56	-2.12%
EQ Meta Platforms Inc.	333.12	-2.33%
EQ Alphabet Inc A	2,843.66	-2.69%
EQ The Walt Disney	148.11	-2.13%
Procter & Gamble	147.47	-0.80%
Nike Inc.	168.02	-2.33%
EQ Lululemon Athleti	456.58	-0.28%
EQ The Estee Lauder	334.35	-4.26%
EQ JPMorgan Chase	161.93	-3.01%
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Start Trading



4. After search stocks,select interested stockthen the screen willappear as figure

5.Select Trade to start trading therefore the screen will appear as figure 2



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	Tr	ade Ticket		\times
EQ Apple AAPL	e Inc. :xnas USD		()	Q
Bid 157.00	Ask 157.01	Last traded 156.81	Net change -5.13	% c -3
NASDAQ			• C	losed
Account		<	USD/:)> 1
Туре		<	Limit	> 2
Buy/Sell		<	Buy	> 3
Shares		-	1	+ 4
Price		-	156.62	+ 5
Duration		<	G.T.C.	> 6
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Cost				USD
Nominal val	ue		156.62	USD
Cash availa	ble 🕐		136.83	USD
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Input following information

Account: Default based on country Order Type BUY/SELL No. of shares Share Price Order Duration

Select Show Details to view.. Commission fee Nominal Value Cash Available for trading



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Bid 157.00	Ask 157.01	Last traded 156.81	Net chan -5.13	ge % c -3
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Account	1	<	USD/:	1>
Туре		<	Limit	>
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Bid Ask 142.95 142.96	Last traded 142.92	Net change 1.36	% change 0.96%
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Account	<	JSD/:	>
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Account		ŀ	Account value
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34950/F9111EUR		g	915.14 EUR
34950/F9111HKD		1,5	26.18 HKD
34950/F9111USD		1,7	71.81 USD
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1 Account: Select Trading Account

For U.S. stocks , the system will appear as USD Account by Default.

For HK stocks , the system will appear as HKD Account by Default.

For A-Share stocks , the system will appear as CNH Account by Default.

For EU stocks , the system will appear as EUR Account by Default.



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If select wrong trading account

For example: if select HKD account to buy Apple stock, which listed in U.S., therefore error message

Disclaimer:

In case, the client willing to trade using cross-currency the system will automatically convert currency to settle the trade.

Tips ! UK Stock Trading

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	Trade Ticke	t		×		
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Cost			58.43	EUR		Cost
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AstraZeneca Plc AZN:xlon GBp Bid Ask Last traded Net change % chi 8,689.00 % chi 8,689.00 % chi 8,689.00 ~241.00 ~2.7 London Stock Exchange • Closed Account EUR/34950 > Account EUR/34950 > Type Market > Buy/Sell Buy > Shares 100 + Duration Day Order - - Hide Details Cost 8.69 GBP Nominal value 8,693.00 GBP 8,693.00 GBP ading, include UK					~
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asiaplus.co.th

Trade Ticket

Tips For UK stocks trading

-UK stocks displays price in Penny (GBp)

Whereas 100 penny= 1 pound(GBP)

For example: AstraZeneca stock price equals

8,679 penny

The system will calculate commission and Trading Value in pounds (GBP) However, the trading order will be settled in Euro (EUR)

Tips! A-Share Stock Trading



	Tra	ade Ticket		\times	_
EQ Kweich 600519:	ow Moutai xssc CNY		(DQ	
Bid	Ask	Last traded	Net change	% cha	_
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Shanghai-Hon	ig Kong Stock	Connect	•	Closed	
Account		< A12	34CNH/3495	50 >	
Туре			Limit		0
Buy/Sell		<	Buy	>	
Shares 📰		-	0	+	2
Price		-	2,036.06	+	
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Cost				0 CNH	20
Nominal value				0 CNH	24
Cash available	0		10,000.	00 CNH	be

For China A-Share stocks
trading use CNH Account
Order Type, Limit (Specif

- Order Type: Limit (Specified Price) order only
- No. of shares: All A-Share Lot Size is 100 stocks
- Trading Order Duration: Day Order (within the same day) only

Important Disclaimer! The market does not permit selling order within the same day of buying instead can be sell in the next following trading day (T+1). However, buy order can be execute immediately after selling order completed

	Tradin	g Conditions		\times
Costs Trading	j rates	Instrument		
Instrument Exchange	Sh	anghai-Hong Ko	ong Stock Conne	ct
Sector			Semiconducto	rs
Min. trade size			1 Shai	re
Lot size			100 Share	es
Tick size			0.0	1
Risk rating 🕐				3
Collateral value 🕐			0	%
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ISIN			CNE100001FR	6

Restriction regarding trading order comply with China Securities Regulatory Commission (CSRC)

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*Displayed Price lagged by 15 mins



Key Tips! Before trade A-Share Stocks

Lot Size for A-Share Stocks

- All A-Share Lot Size is 100 stocks, which means minimum trading size is 100 stocks and 100X

Applicable order Type

- Limit (Specified Price) order only
- If willing to trade at Market Price:
 - BUY order- Specified BUY Price higher than Current Market Price (+/- 10% of previous day closing price)
 - SELL order– Specified SELL Price lower than Current Market Price (+/- 10% of previous day closing price)
- No Take Profit / Stop Loss
- No Edit for A-share Stocks order. If willing to edit, cancel and create new order.

Trading Order Duration

- Day Order (within the same day) only

Sell order Restriction

- -The market does not permit selling order within the same day of buying instead can be sell in the next following trading day
- (T+1). However, buy order can be execute immediately after selling order completed



1. Are China A-Share stocks eligible to trade online?

Ans: Yes, the online trading system will be available in July onwards

2. What are A-Share Trading Hours in Thailand time?

Ans: 8.30-14.00

3. Is A-share Stock Exchange trading hours same as Hong Kong Stock Exchange?

Ans: Based on Thailand time, A-Share Stock Exchange open at 8:30 same as Hong Kong Stock exchange <u>BUT closing time are different</u>. A-Share stock exchange close at 14:00 while Hong kong Stock Exchange close at 15:00.

4. What currency used for A-Share Stocks Trading?

Ans: CNH (Offshore Yuan)

5. What is the main difference between CNH (Offshore Yuan) and CNY (Onshore Yuan)?

Ans: CNY only used in mainland China (Onshore Market), while CNH can be used everywhere outside of mainland China (Offshore Market)

6. Can client transfer CNH (offshore Yuan) from another Brokerage Account to Global Invest Platform?

Ans: Not Applicable

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7. What are the exchange ratio between CNH and CNY?

Ans: Most of the time CNH and CNY amount to the same value (1:1) but sometimes might be slightly different.

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8. What are A-Share Stock Exchanges and the difference between them?

Ans: 1. Shanghai Stock Exchange; SSE

2. Shenzhen Stock Exchange; SZSE

Shanghai Stock Exchange constituent is mostly securities with large market-capitalization or state-controlled firm, while Shenzhen Stock Exchange composed with securities related to New economy, technology and innovation (similar to Nasdaq).

9. Are all securities listed on mainland China eligible for trading?

Ans: Not all securities, securities in following index are NOT eligible for trading:

- ChiNext the constituent within Shenzhen Stock Exchange, which the ticker begins with 300
- Star Market the constituent within Shanghai Stock Exchange, which the ticker begins with 688

ChiNext is Shenzhen Nasdaq while Star Market is Shanghai Nasdaq, both mainly composed with Innovation companies.

10. What is Shanghai-Hong Kong Stock Connect?

Ans: Cross-boundary investment channel that connects Shenzhen Stock Exchange, Shanghai Stock Exchange and Hongkong Stock Exchange. The scheme allows investors of their respective market to trade in stocks of others' market.



11. What is the difference between Northbound Trading IIA: Southbound Trading?

Ans: 1. Northbound Trading is the trading of mainland-listed stocks from the Hong Kong Stock Exchange (Foreign Investors).

2. Southbound Trading is the trading of Hong Kong stocks from mainland China investors.

12. In case of receiving dividend, will shareholder subject to dividend tax?

Ans: Dividends paid to shareholders are subject to a withholding tax at the rate of 10%.

13. What are type of Chinese Stocks that applicable for foreign investors?

- A-Share: Chinese stocks listed in mainland China stock exchange, including Shanghai and Shenzhen stock exchange. For example, indexes that included are CSI300 Index(Top 300 A-Share stocks listed on mainland China) IIA: FTSE China A50 (Top 50 A-Share stocks listed on mainland China)
 China)
- H-share : Chinese stocks listed in Hong Kong stock exchange
- China ADR (American Depositary Receipt) : Chinese stocks listed in U.S. market
- 13. What is minimum number of shares (Lot size) for trading A-share stocks?

Ans: 100 shares

14. What are trading order type of Chinese stocks?

Ans: Limit Order only and need to be execute within the same day (Day Order), therefore Aggressive Limit order can be set within the range of +/- 10% of previous day closing price.



15. Is day trading allowed?

Ans: Day trading is not allowed for A-Share Stocks. The market does not permit selling order within the same day of buying instead can be

sell in the next following trading day (T+1). However, buy order can be execute immediately after selling order completed

16. Is stock quote that shown on online trading system Real-Time quote?

Ans: Displayed quote is lagged by 15 minutes

17. Is there Real-time Subscription of China A-Share quote?

Ans: Unavailable but Real-time quotes can be view for free through:

http://bochk.etnet.com.hk/content/bochkweb/asharecontent/eng/ashare_quote.php?code=601012

18. Where to view A-share Real-time Price?

Ans: Can be view on http://bochk.etnet.com.hk/content/bochkweb/asharecontent/eng/ashare_quote.php?code=601012

19. What are the resources for information regarding A-Share Stocks?

Ans:

- https://www.chinadaily.com.cn/
- https://jingdaily.com/
- <u>https://www.globaltimes.cn</u>
- http://www.aastocks.com/en/cnhk/default.aspx



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EQ Apple Inc. AAPL:xnas U	JSD		Q
Bid Ask 157.00 157.0	Last trade 01 156.81	d Net change -5.13	
NASDAQ		• (Closed
Account	<	USD/	>
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Buy/Sell	<	Buy	>
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Type: Select order type

Market: order place at current best available price

Limit: order to trade at specific price

Stop: order that triggered when a stock moves past a specified price

Trailing Stop: order will be adjusted by trailing amount based on the direction of stock price

Stop Limit: match Best Price Available in case that Market open price is remarkably jumps or falls from the last closing price

OCO: place 2 types of orders simultaneously to define upper and lower limit whichever order matches first, the system will automatically cancel another

Algo: use Algorithm trading thus additional fees will be charged for some strategies

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Type: Special order type Algo

9 strategies available such as VWAP which is trading order that match price that close to daily average price

Therefore, VWAP use historical trading information to calculate Volume Profile *Additional Fees applies

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U.S. stock exchange	0.5 cents per shares
Hong Kong stock exchange	3 bps (0.03%)
EU stock exchange	0.5 cents per shares
Minimum trading size per order (USD)	25,000

*Minimum Trading size is subject to each stock exchanges regulation

Commission fee in the table above will be charge on-top of normal commission fee and it is subject to change *For more information related to Algo, contact Global Markets



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Shares: enter number of shares

*For Hongkong Stocks, select ① to view lot size before execute the trade

Select "Instrument" to view Lot Size, for example, 100 Shares refer to minimum order size of 100 stocks or 100X

*For all A-Shares stocks, Lot Size is 100 stocks.

5 Price: select specified price



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6 Duration: select order duration that will be in effect GTC –in effect until executed or cancelled Day Order – expires at the end of the trading day if it is not completed One Week – in effect for 1 week One Month – in effect for 1 month End of week – expires at the end of the week End of month – expires at the end of the month End of year – expires at the end of the year

Select Date –customize duration









- Select "Search", then enter selected stock name in "Instrument Search"
- Select "Trade"
- Enter information, then select "Place order"
- Order will match at Real time Price on the exchange



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Con	firm order	
EQ Apple Inc. AAPL:xnas USD		
Account ID	34950/	USD
Buy/Sell		Buy
Shares		1
Order type		Limit
Price		156.62
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EQ Apple AAPL:x New York Stock Order Order type Dist. to Market	inas USD : Exchange		Can Buy 10	cel • Closed @ 156.62 Limit 0.0100
EQ Apple AAPL:x New York Stock Order Order type Dist. to Market Duration	inas USD Exchange		Can Buy 10	cel • Closed @ 156.62 Limit 0.0100 G.T.C.
EQ Apple AAPL:x New York Stock Order Order type Dist. to Market Duration Status	inas USD Exchange		Can Buy 10	cel ••• • Closed @ 156.62 Limit 0.0100 G.T.C.

- Confirmation window will appear, check order details once again before proceed to select
 "Confirm"
- Active Order will display in "Orders" tab

Recheck, Edit and Cancel order



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Order details				
New Trade				
Chart				
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Cancel an active order by select "Cancel"

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- The system will display confirmation window
- --- Select here to view additional functions

Modify Order: make an adjustment on active orders Change to market: change to market order Order Details: view stocks/ETFs order details Trade: place Additional orders Chart: view Charts Add Price Alert: set an alert when price reach specific point Trading Conditions: view commission fee & trading information

Product Overview: view stock price, charts and related news

Sell order





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	Close Positio	on	>
EQ Nio Inc. NIO:xnys L	JSD		i
Position		38@4	5.7293
Bid / Ask		39.96	/ 39.99
Last traded			39.99
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Duration		Day Order	
	Close Positio	n	
	Show Details	3	
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global@asiaplus.co.th

- In Position, select stock that willing to sell by "Close"
- Choose sell order type ex. Market (Market Price) or Limit (Specified Price)
- Proceed to select "Close Position"

In case, the client bought the stock several times

Select + to view each transactions and can specifically select which transaction to close.

Transactions show in descending order

Disclaimer:

-If select close on total position, the system will execute order based on First In First Out (FIFO) method

-If select + to view additional transactions, the user can specifically select which transaction to close

Sell order



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EQ Nio Inc.		Long	38	45
EQ Palantir Te	echnolo	Long	120	25
EQ Nio Inc.			Clos	
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Status				Open
Stop				-
Limit				
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to view additional menus such as Select ••• **Close Position:** sell existing stock in porfolio Take Profit/Stop Loss: set take profit or stop loss **Position Details:** view stocks/ETFs details **Trade:** place additional orders **Chart:** view charts Add Price Alert: Set an alert when price reach specific point **Trading Conditions:** View commission fee & trading information **Product Overview:** View stock price, charts and related news

View Charts in Global Invest Platform





1.Enter "Trading" menu, then select "Charts"

2.Search stocks **Q**

3.Choose Timeframe 1D:--

4.Choose Indicators and technical analysis tools 🗸

5.Compare different stocks by select + and enter stocks





Browse stocks by using "Screener"

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Instrument		Price		% Net
EQ Amazo	n.com Inc.	3,466.30	2.50%	% 84.47
EQ Adobe	Inc.	630.33	2.529	% 15.47
EQ Amgen	Inc.	219.25	2.589	% 5.51
EQ Bed Ba	th & Beyond	16.30	-0.619	% -0.10
EQ Apple I	nc.	179.30	2.85%	% 4.97
EQ Cisco S	Systems Inc.	59.93	3.749	% 2.16
eBay Ir	ıc.	65.61	-0.369	% -0.24
EQ Citrix S	Systems Inc.	83.73	0.719	% 0.59
EQ Cintas	Corp.	457.71	2.039	% 9.12
EQ Applied	d Materials	153.66	4.019	% 5.93
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Sorted by Yesterday's po	opularity 🔨		
Instrument	Price	%	Net
Amazon.com Inc.	3,466.30	2.50%	84.47
Eq Adobe Inc.	630.33	2.52%	15.47
EQ Amgen Inc.	219.25	2.58%	5.51
EQ Bed Bath & Beyond	16.30	0.61%	-0.10
EQ Apple Inc.	179.30	2.85%	4.97
EQ Cisco Systems Inc.	59.93	3.74%	2.16
EQ eBay Inc.	65.61	0.36%	-0.24
EQ Citrix Systems Inc.	83.73	0.71%	0.59
Eq Cintas Corp.	457.71	2.03%	9.12
EQ Applied Materials	153.66	4.01%	5.93
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% price change			>
Popularity			>
Alphabetically			
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Enter "Screener" menu

Filter stocks by:

- %Price Change: stocks with highest %price change
- Popularity: stocks with highest trading volume
- Alphabetically: rank stocks in alphabetically order



Set "Price Alert" when stock reaches specified point

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• Enter **"Price Alert"** menu, select stocks and input alert conditions

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Alert Type: Price/Price change Condition: > or < Input specific price for alert

- The Notification will Pop-up when stock price reaches specified price
- Or may send an alert via Email by enter Menu -> Setting -> Notification

Update business related and stock markets insight ASIA PLUS

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News Calendar	
Nov-2021 Q Search	
DGAP-News: Heidelberge	4:11 PM 🔎
GNW-News: Form 8.5 (EP	4:10 PM 🔎
GNW-News: Form 8.5 (EP	4:06 PM 🔎
DGAP-DD: Jungheinrich A	4:04 PM 🔎
Macau casino stocks tum	4:00 PM 🔎
Pendal Group Expands Rel	4:00 PM 🔎
GNW-News: Form 8.5 (EP	3:58 PM 🔎
GameStop Top-Discussed	3:54 PM 🔎
Avacta Announces FDA A	3:53 PM 🔎
Earnings Scheduled For N	3:52 PM 🔎
UPDATE2: Tokyo stocks e	3:51 PM 🔎
REPLY: "Cloud in Financial	3:30 PM 🔎
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1.Enter "Research" menu

2.Select "News" to view stocks-related news

3.Select "Calendar" to view economic data

Important tabs under "Account" menu



Performance

"Account Value" Menu

Shows information related to portfolio, such as value

movement and total

profit/loss

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Performance	Account Sum	mary	Portfoli
All accounts			
Cash		136.83	USD
Account value		4,171.48	USD
34950/F9111H	łKD		
Cash		0.00	HKD
Account value		0.00	HKD
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34950/F9111U	JSD		
Cash		136.83	USD
Account value		4,171.48	USD
Cash available	2	136.83	USD
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Account Summary

Account Value: shows current portfolio value

Cash Available: shows cash available for trading

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Important tabs under "Account" menu





Historical Reports Portfolio Report: contains information related to investment portfolio Trades: shows all trading information Account Statement: shows cash movement in an account

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Share Dividends: displays dividend information

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Important tabs under "Account" menu



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All accountsYear to dateStockInstrumentAmountCONio Inc.75.00iCORoblox Corporation35.00iCOOatly Group AB75.00iCOCoinbase Global Inc10.00iCONio Inc.50.00i	displays all stocks that have been sold	Upcoming Active Past Filter All accounts Date Instrument 1-Jan-2021 Apple 	Corporate Action Active: views current Corporate Action Past: views past Corporate Action
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Select start date

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Important Disclaimers



-Some stocks are unavailable to trade due to low liquidity and high risk, therefore Risk Management system will not accept the trading order

-In case client make a market order (Market Price) for high volatility stock before market close, the system will retain Buffer to manage risk of insufficient cash amount to buy stock if the order value was set closely to maximum cash available. System may reject order during 19.00-20.30 (BKK Time) for U.S. stocks

-If cancel or adjust an order near market open-close time (Auction) the system may no allow for adjustment

-If client willing to trade at open price use market order (Market Price) and submit before market opening, therefore order will match 3-4 seconds after market opens

-In case client make a limit order that limit price is set significantly far away from open price, there is high chance that the order will not be match since the exchange has certain rules applies with regard to Price Band (Price band is boundaries for stock trading, which is difference for each stock and can be change.)

-Trading order will match when there is an actual price movement on Primary Exchange, therefore for some stocks, depending on liquidity, the client may place an order before market opens but will not match immediately when opens at 20:30 instead delay for a while.

-Browser Version supported Global Invest system must be Chrome Version 62.0+ / Safari version 12.0+ / Samsung Internet 16.0.0 / Android 11.0.0 / iOS 15.1.1 above. Un-updated Version may create error

Access additional information related to constraints and risks here https://listingcenter.nasdaq.com/rulebook/nasdaq/rules





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